

# Whispering gallery modes in deformed dielectric cavities via transformation optics

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Collaboration with

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# Outline

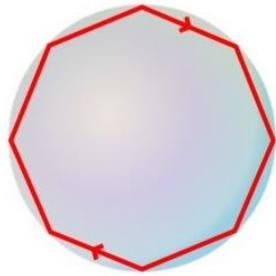
- A longstanding issue in optical microcavity
  - Whispering gallery modes (WGM)
  - Deformed microcavity
  - Trade-off between high-Q factor and directional emission
- Transformation optics
- Transformation cavity
  - Conformal WGM (cWGM)
  - Directional emission from cWGM
  - Realization of transformation cavity

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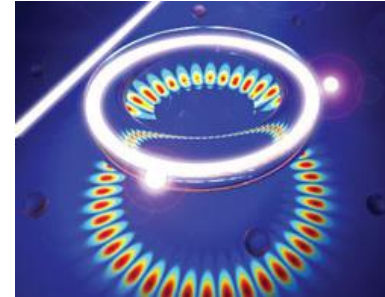
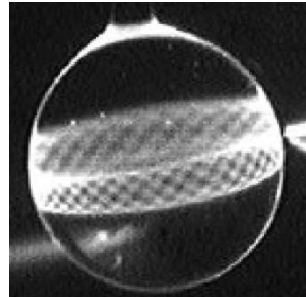
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# A longstanding issue in optical microcavity

- Whispering gallery modes (WGM) in dielectric cavity
  - extremely long lived modes supported by total internal reflection



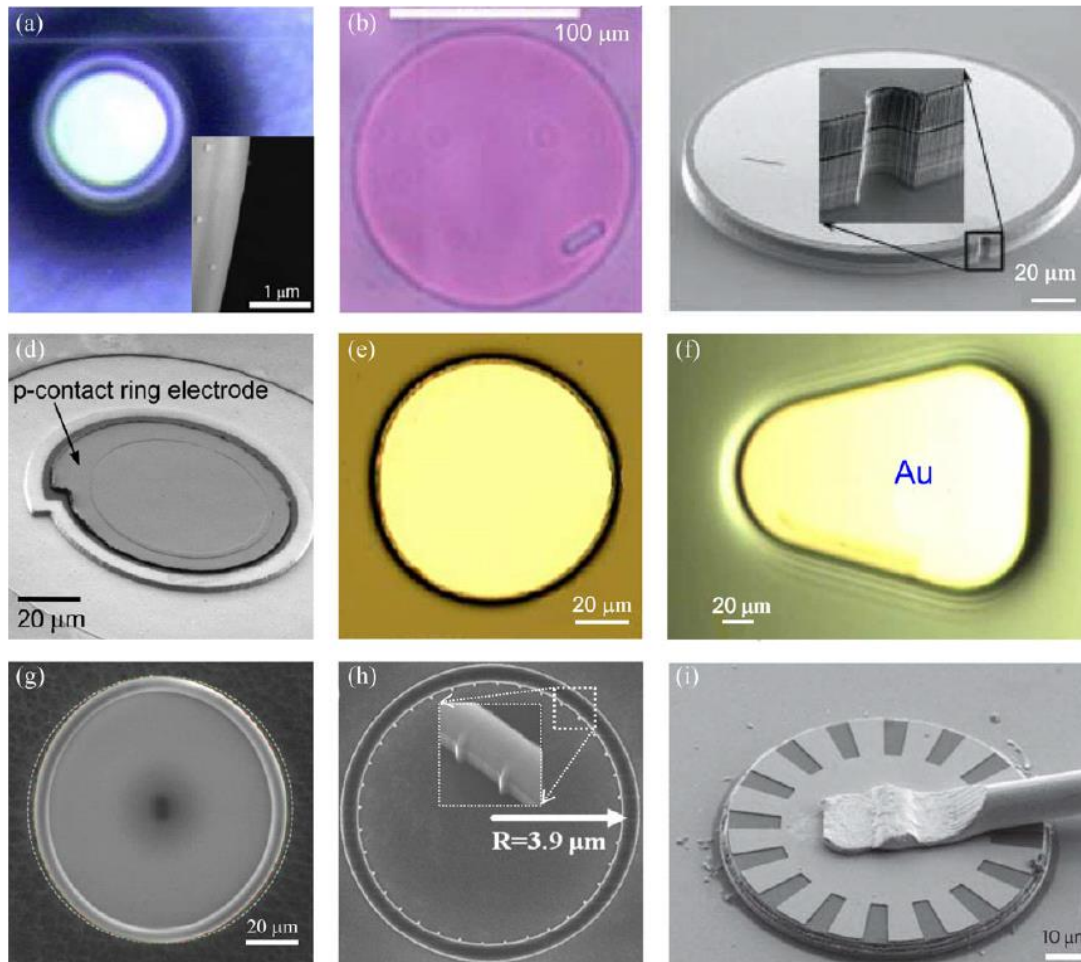
Total internal reflection  
of light ray



Optical whispering gallery  
mode in dielectric cavity

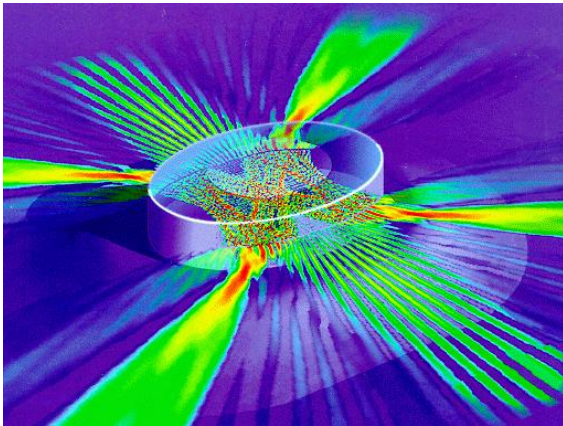
- **Ultra High Q-factor**
  - essential for low threshold laser, bio-sensor, and photonics applications for more sensitivity
- **Isotropic emission**
  - a serious drawback in applications requiring directional light sources and efficient free-space optical coupling
- Hence, how to obtain ultra-high Q mode in optical microcavities with a directional emission has been a long-standing issue for last 15 years

# Optical microcavities with directional emissions

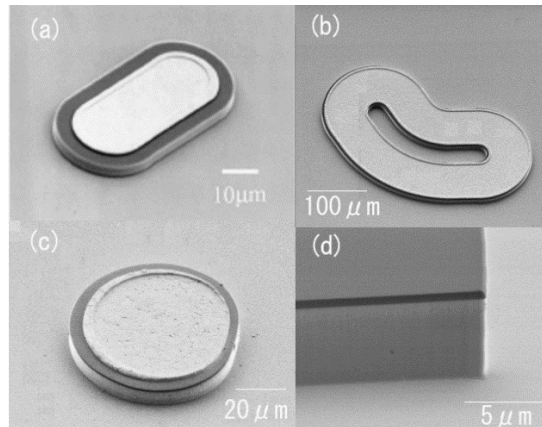


- Microcavities with defect
- Deformed microcavities
- Coupled microcavities

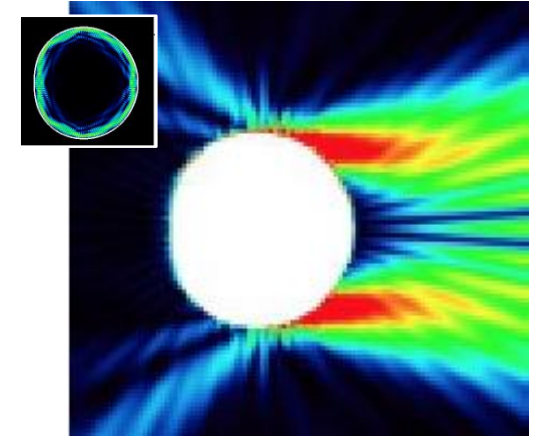
# Deformed microcavity



*C. Gmachl et al., Science (1998)*



Deformed cavity micro lasers



*J. Wiersig et al. PRL (2008)*

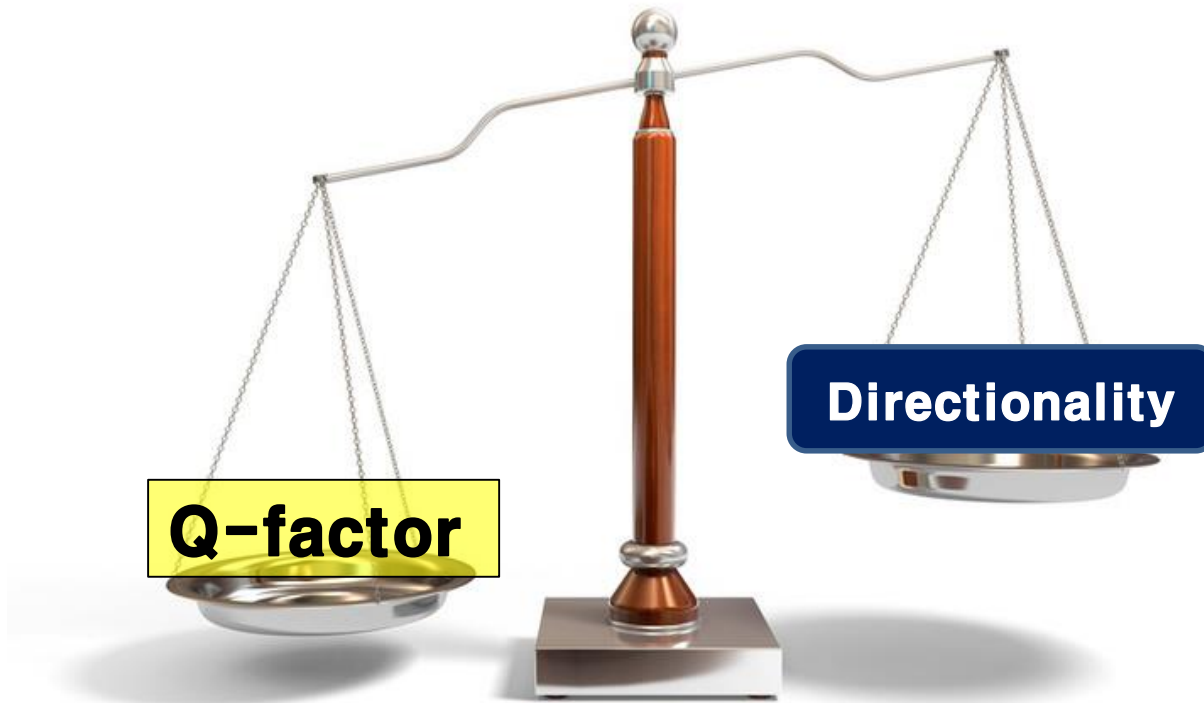
Attempts to obtain the directional emission are essentially based on breaking the rotational symmetry.

However, ultra high-Q WGM are based on the rotational symmetry.

Maintaining rotational symmetry  $\longrightarrow$  Ultra high-Q WGM

Breaking rotational symmetry  $\longrightarrow$  Directional emission

# Trade-off between the cavity Q & emission directionality



*Commonly believed knowledge*

# Outline

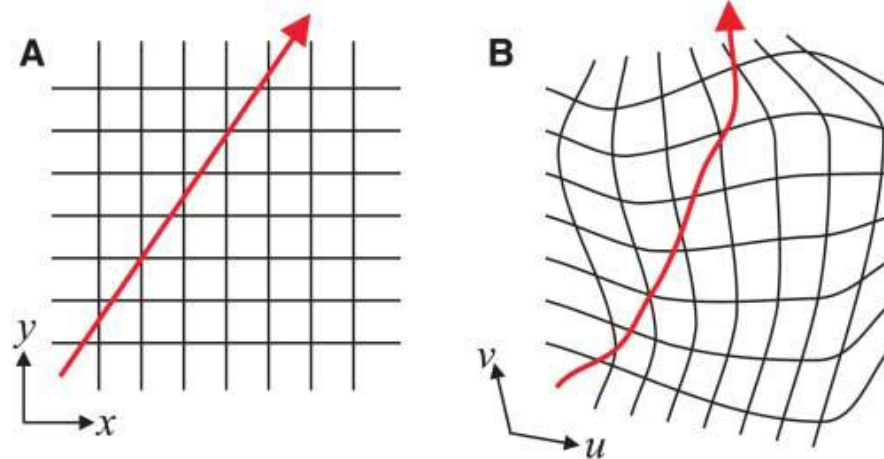
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# Transformation Optics

If we distort the coordinate system,  $(x, y, z) \rightarrow (u, v, w)$ , the ray trajectory of light should be distorted.

The transformed coordinate can be realized by the inhomogeneous distributions of refractive index.



The refractive index can be obtained from the coordinate transformation.

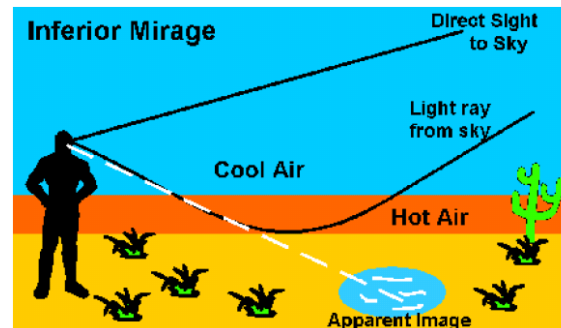
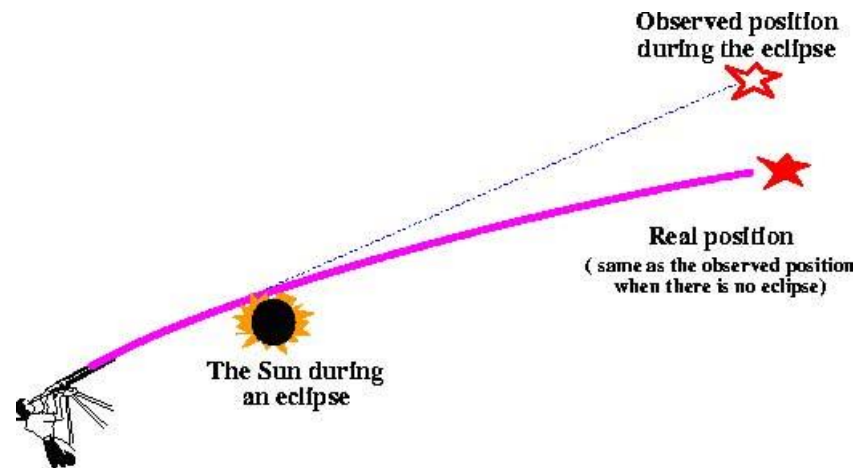
$$n' = n g(u, v, w)$$

*U. Leonhardt, Science (2006)*

*J. B. Pendry et al., Science (2006)*

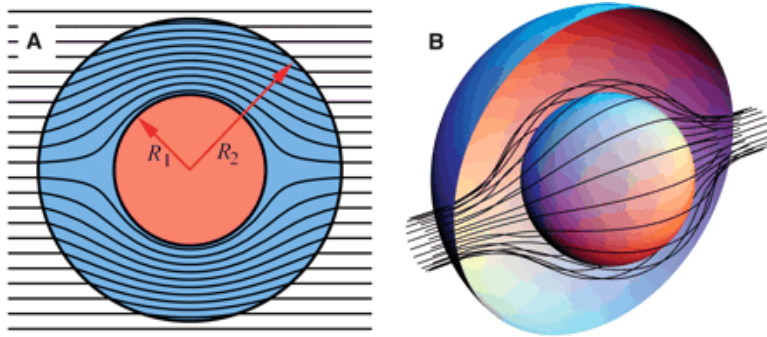
# Transformation Optics

Einstein's general theory of relativity: gravity changes geometry.  
Therefore gravity should bend light.

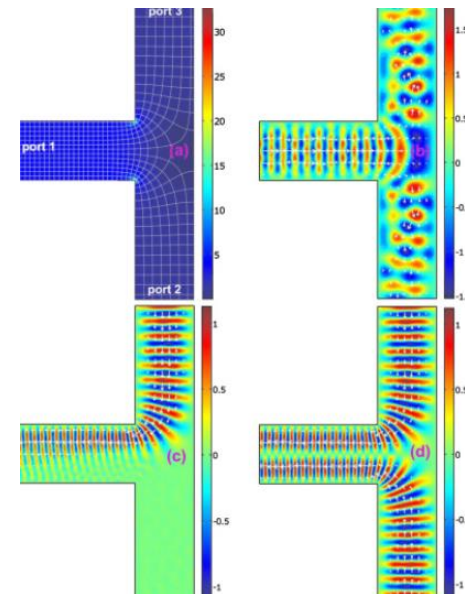


# Transformation Optics

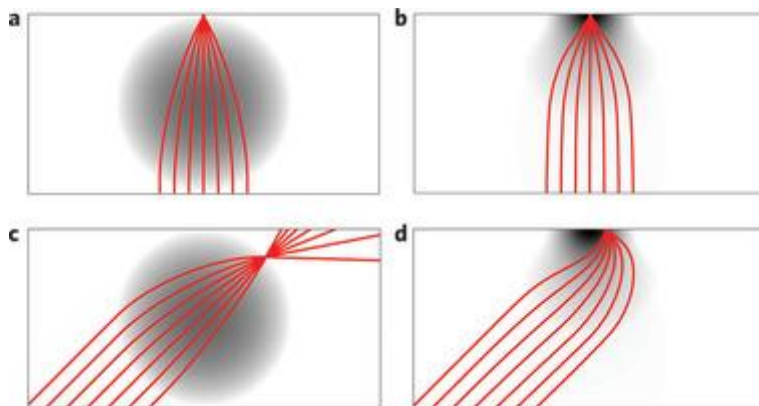
Optical cloaking - *J. B. Pendry et al., Science (2006)*



Waveguides

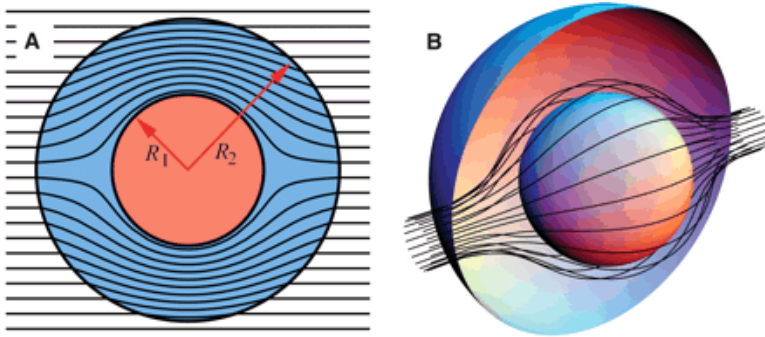


Flat luneburg lens - *N. Kundtz et al., Nat. Mater. (2010)*

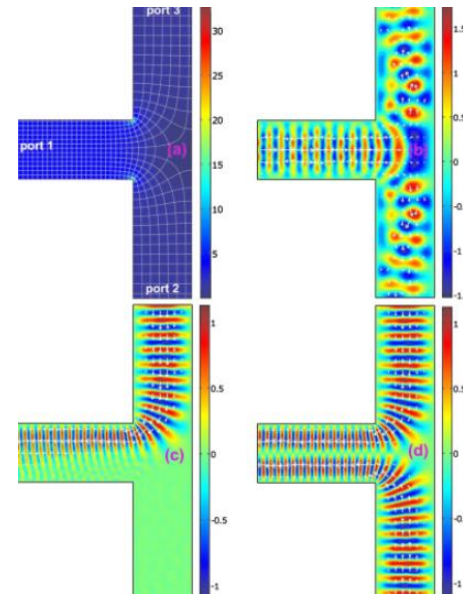


# Transformation Optics

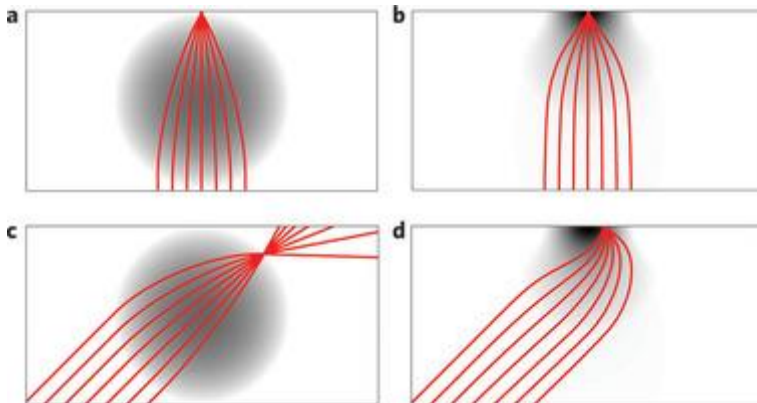
Optical cloaking - *J. B. Pendry et al., Science (2006)*



Waveguides



Flat luneburg lens - *N. Kundtz et al., Nat. Mater. (2010)*



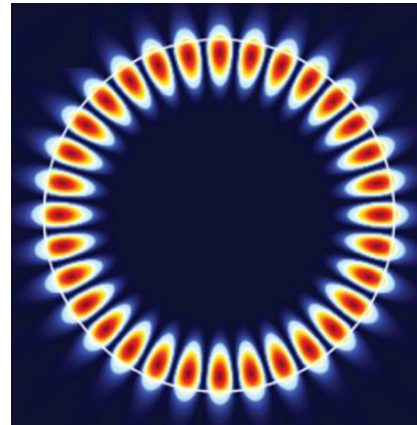
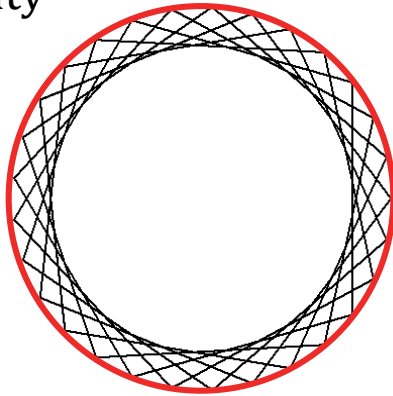
*Applications of TO have focused  
on the control of light propagation  
path.*

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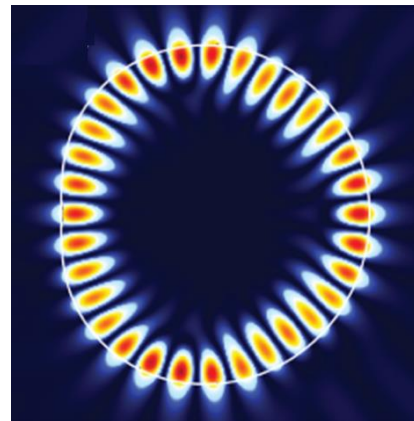
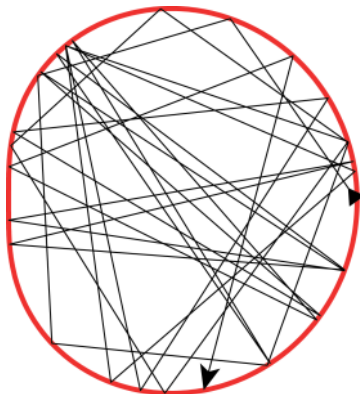
# Deformed microcavity

Disk cavity



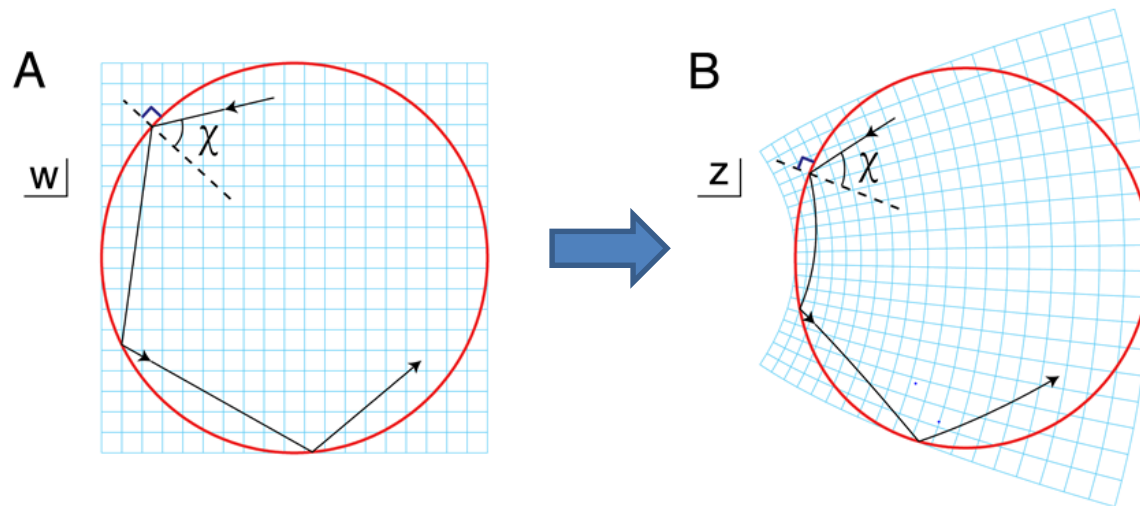
Whispering Gallery Mode  
(WGM)  
supported by  
Total internal reflection

Deformed cavity



Q-spoiling due to the  
breaking of rotational  
symmetry  
- High-Q mode supported  
by localization

# Conformal mapping

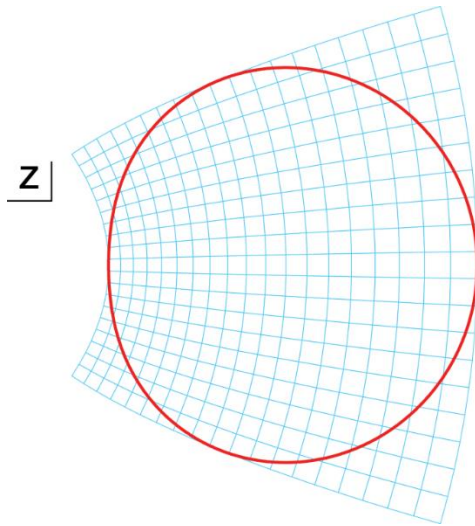


The corresponding conformal transformation which maps the unit circle to the limaçon boundary is given by a function of complex variable,

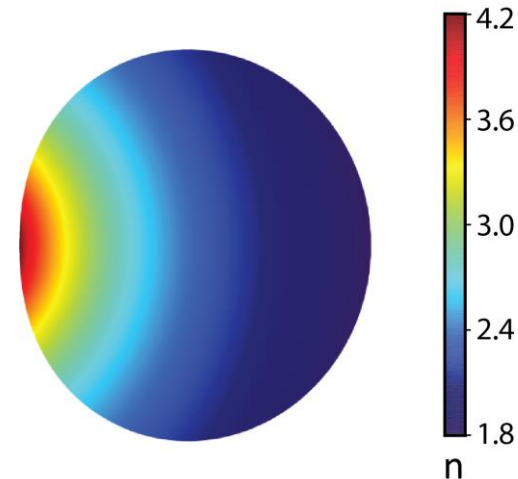
$$z = \beta(w + \alpha w^2) \quad \text{where } \beta = 1/\sqrt{1 + 4\alpha(1 + \alpha)}$$

- Transformation is conformal mapping
- **Keeping total internal reflection condition** at cavity boundary

# Refractive index profile obtained from transformation optics theory



Transformed coordinate & cavity boundary



Refractive index profile

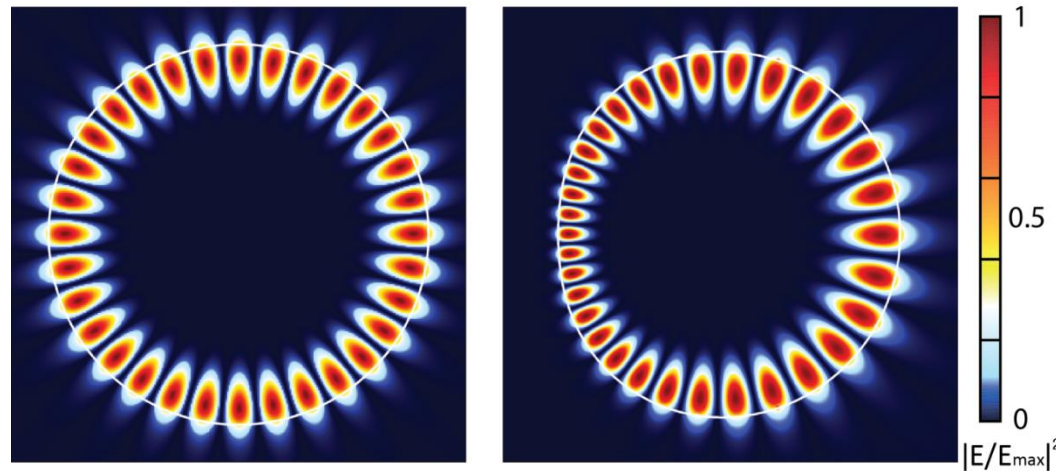
The transformed refractive index :

$$\frac{n(z)}{n_0} = \left| \frac{dw}{dz} \right| = \frac{1}{\beta \sqrt{1 + 4\alpha z / \beta}} , \text{ where } n_0 = 1.8$$

Set refractive index  $n_{\text{out}} = 1$  outside the cavity



# Restored High-Q WGM mode in the transformation cavity



WGM in disk

WGM in the transformation cavity

WGM in original disk and transformation limaçon shaped cavity :

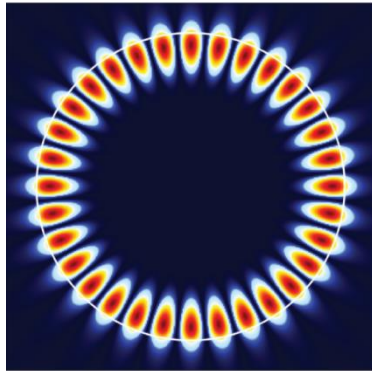
- Resonance mode with azimuthal mode number  $m=16$

In transformation limaçon cavity,

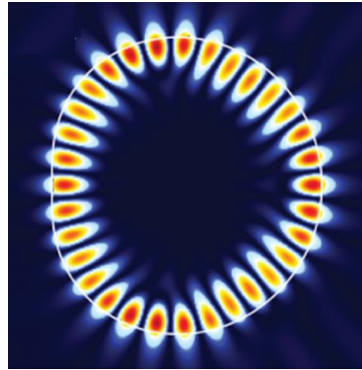
- approximately WGM transforms conformally (cWGM: conformal WGM)
- distance between adjacent nodes varies according to the refractive index profile

# Restored High-Q WGM mode in the transformation cavity

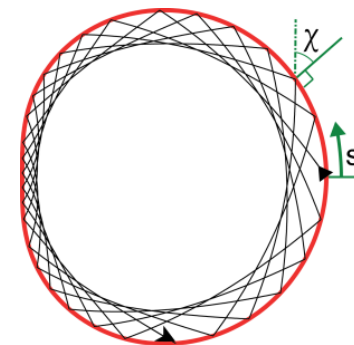
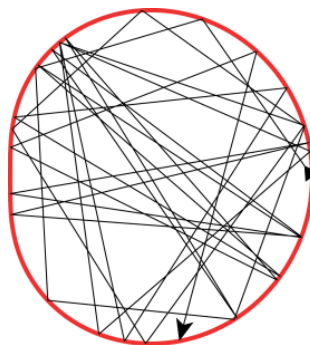
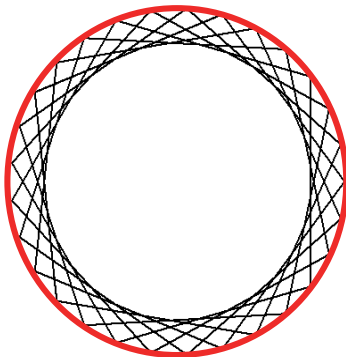
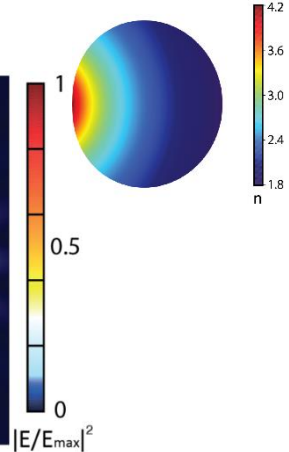
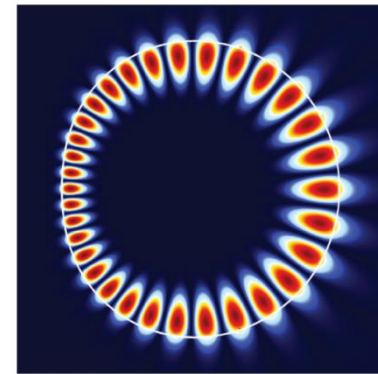
Homogeneous disk cavity



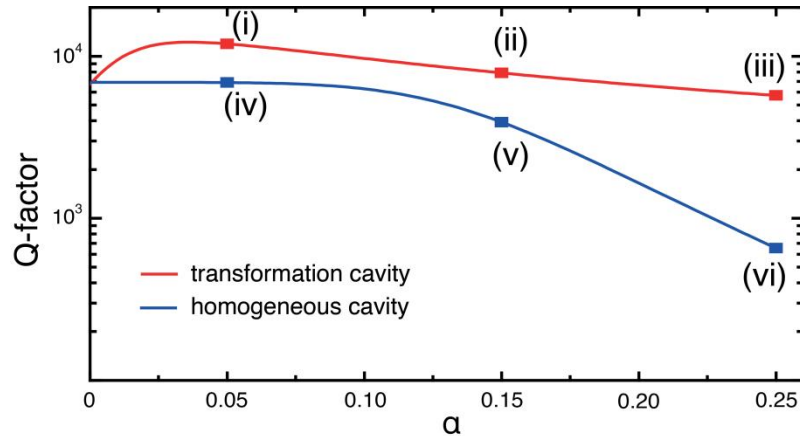
Homogeneous deformed cavity



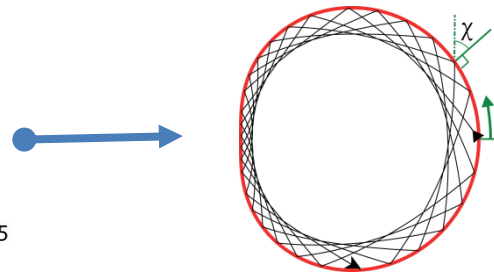
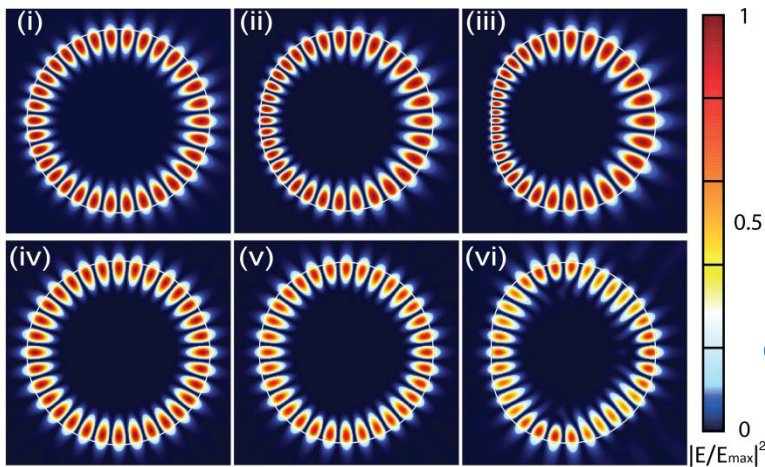
Transformation cavity



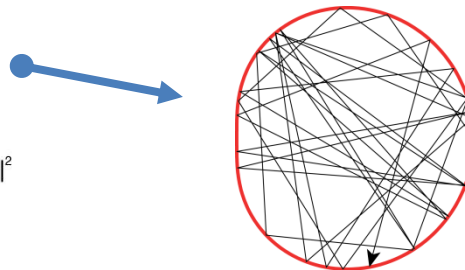
# Q-factor variation with cavity deformation



- Q factor of cWGM is comparable to that of WGM
- Q factor of homogeneous cavity decreases exponentially
- cWGM maintains WGM feature even in high deformation ( $\alpha=0.25$ )
- Homogeneous cavity have polygon shaped mode in high deformation ( $\alpha=0.25$ )



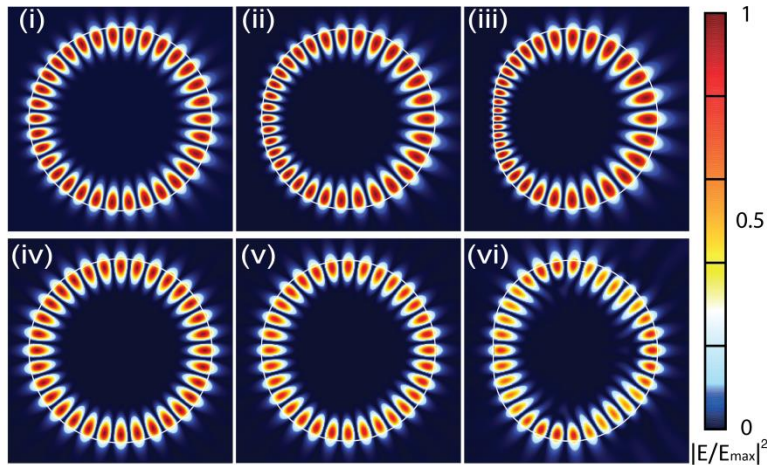
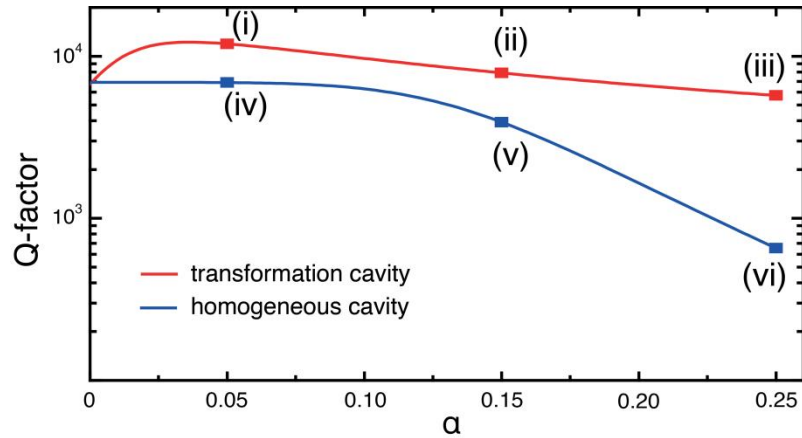
Transformation cavity



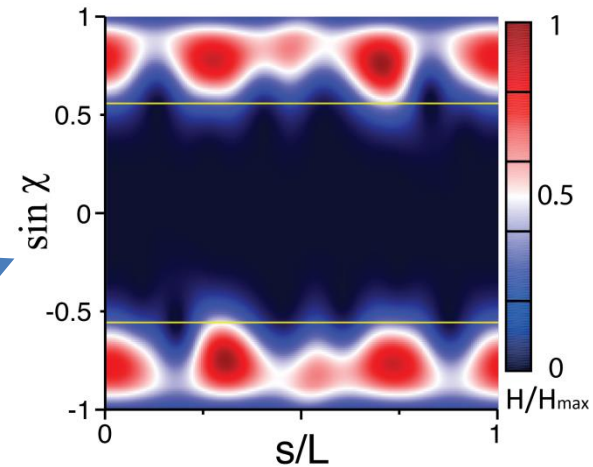
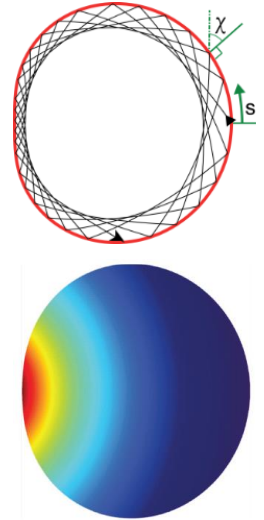
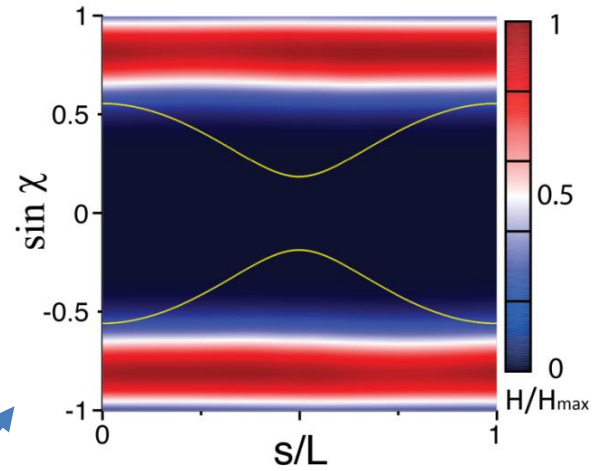
Homogeneous cavity

Q-factor as a function of  $\alpha$  and corresponding resonance modes

# Husimi plot & emission route

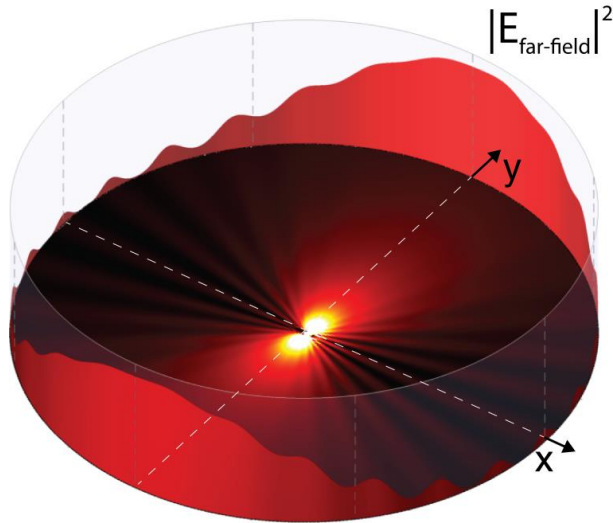
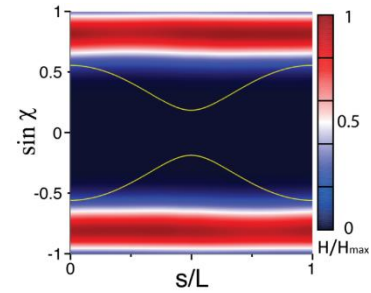


Q-factor as a function of  $\alpha$  and corresponding resonance modes



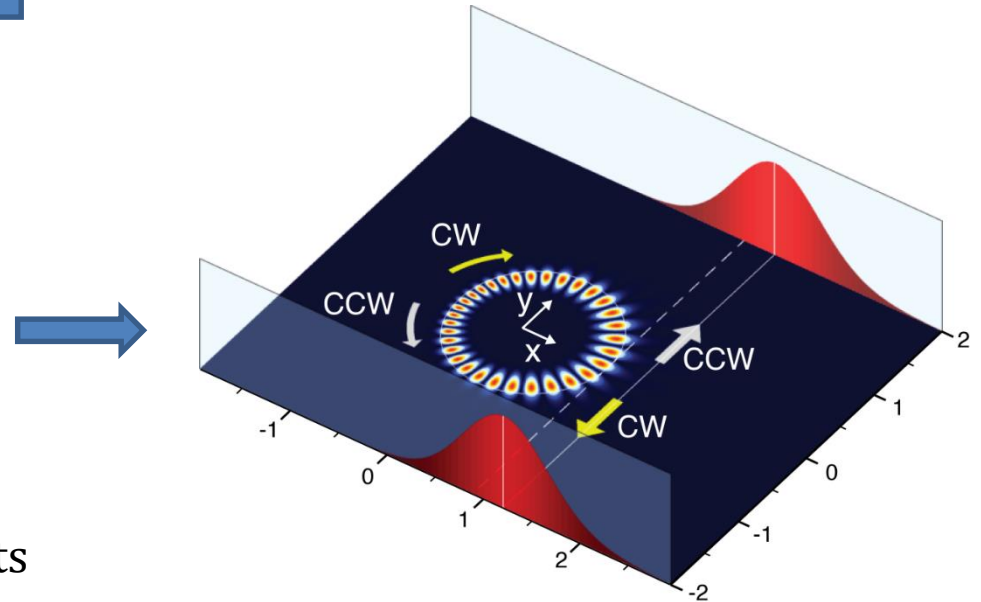
Phase space representation of cavity mode

# Far-field pattern of cWGM



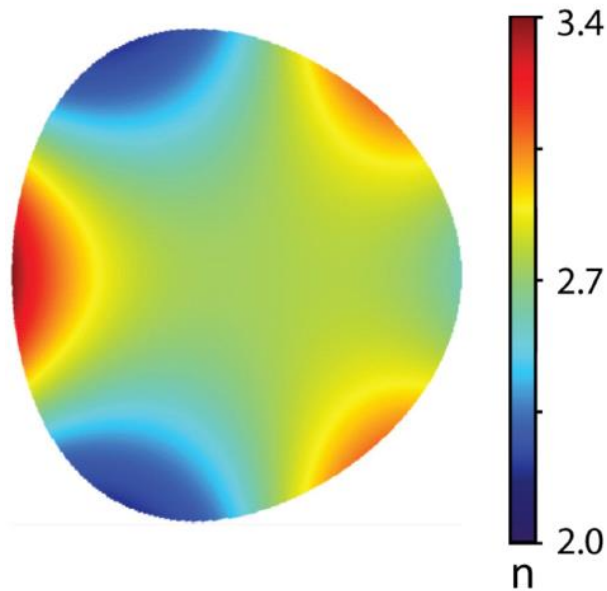
Far-field pattern:  
- Bi-directional emission along y-axis

Near-field projection:  
- Tunneling emission  
(Peak from a point outside the cavity, not boundary)  
- Two peak from CW and CCW components

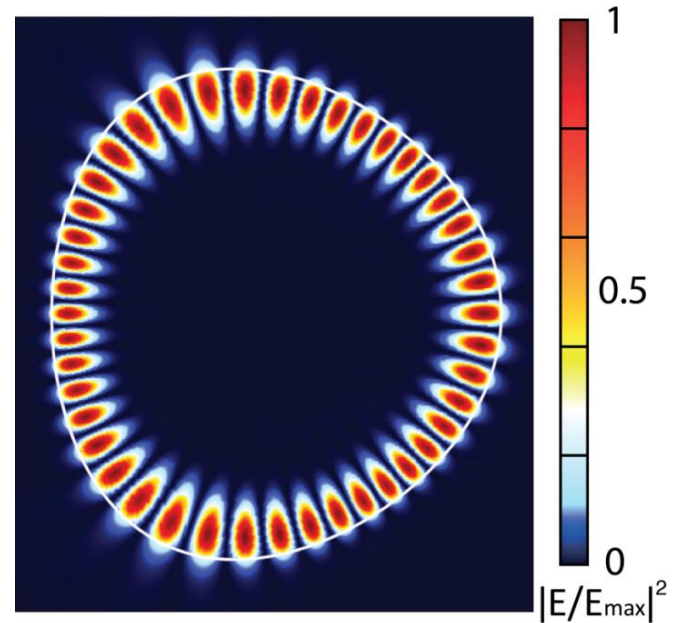


Field intensity on the projection plane perpendicular to far-field maximum directions

# A design for unidirectional emission



Refractive index profile



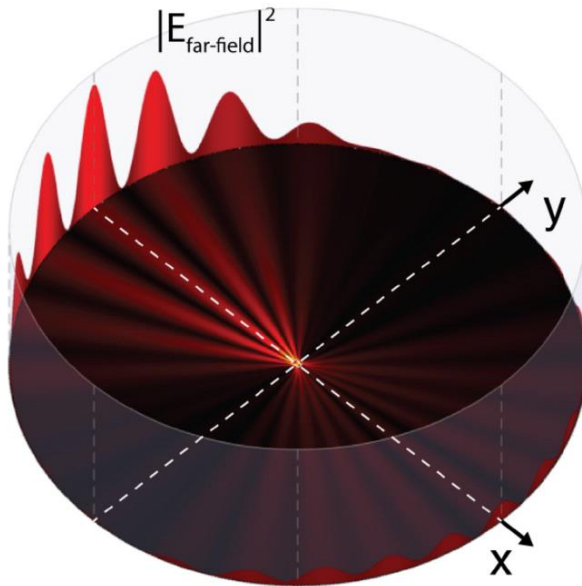
cWGM with unidirectional emission

Conformal mapping for triangular cavity with unidirectional emission:

$$z = f(w) = z_3 \circ z_2 \circ z_1(w).$$

$$z_1(w) = \alpha \frac{w+\delta}{1+w\delta}, \quad z_2(w) = i \frac{1+w}{1-w}, \quad z_3(w) = \int_0^w e^{i\pi/6} (h+1)^{-2/3} (h-1)^{-2/3} dh$$

# Far-field pattern of cWGM



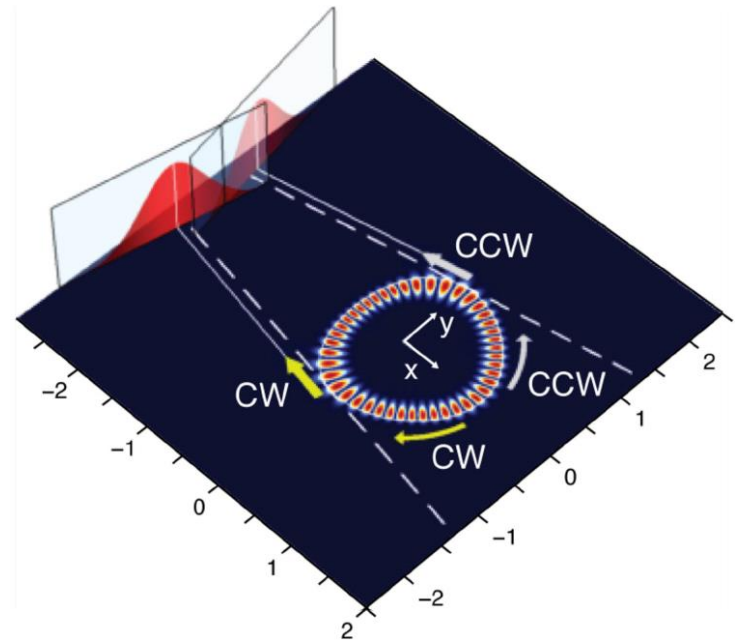
Far-field pattern:

- Unidirectional emission along x-axis



Near-field projection:

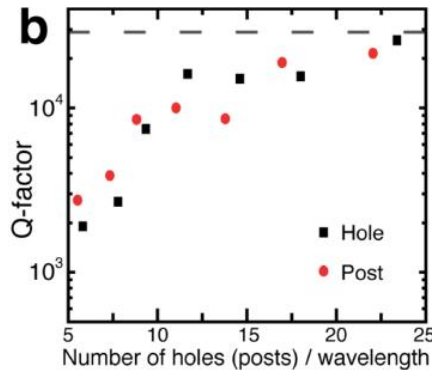
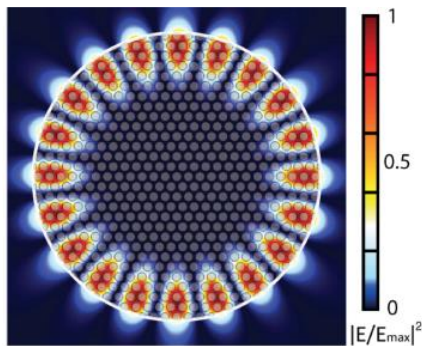
- Tunneling emission  
(Peak from a point outside the cavity, not boundary)
- Two peak from CW and CCW components



Field intensity on the projection plane  
perpendicular to far-field maximum directions

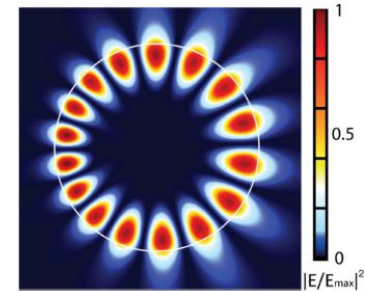
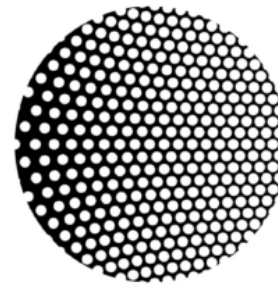
# Feasibility for realization

## Q-factor convergence vs. Hole (Post) density



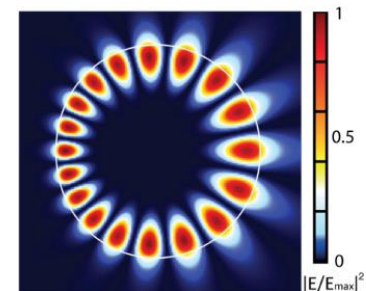
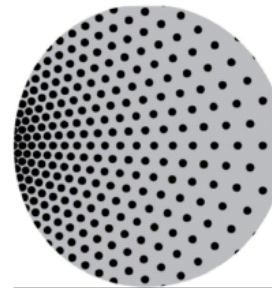
Q-factor in uniform hole (post) array (disk):

- Rapidly converges to the theoretical Q value
- Similar behavior for hole and post array



Conformal hole and post arrays (Limaçon):

- cWGM solutions are obtained
- Q is around 90% of continuous index cavity



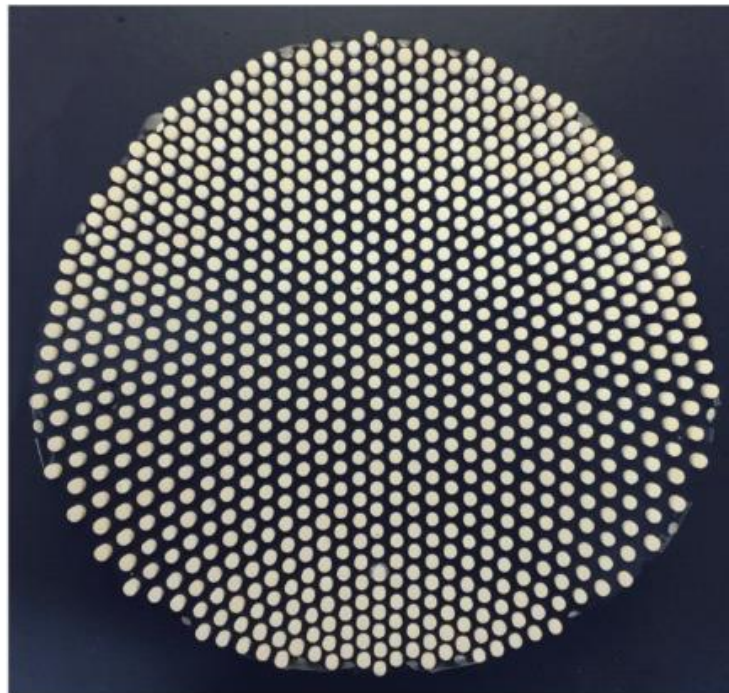
Schematic view of hole and post arrays for transformation Limaçon cavity and its cWGM



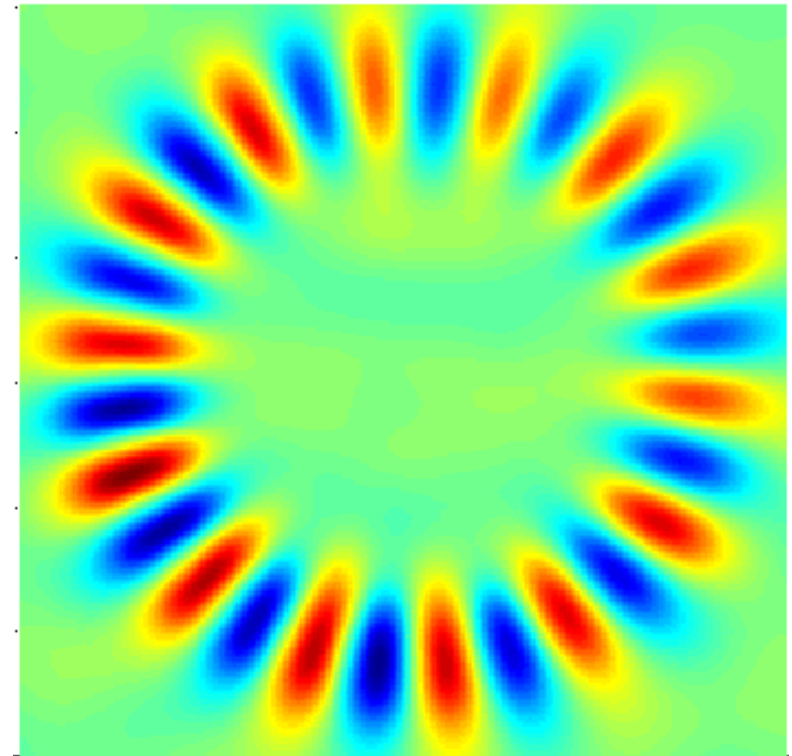
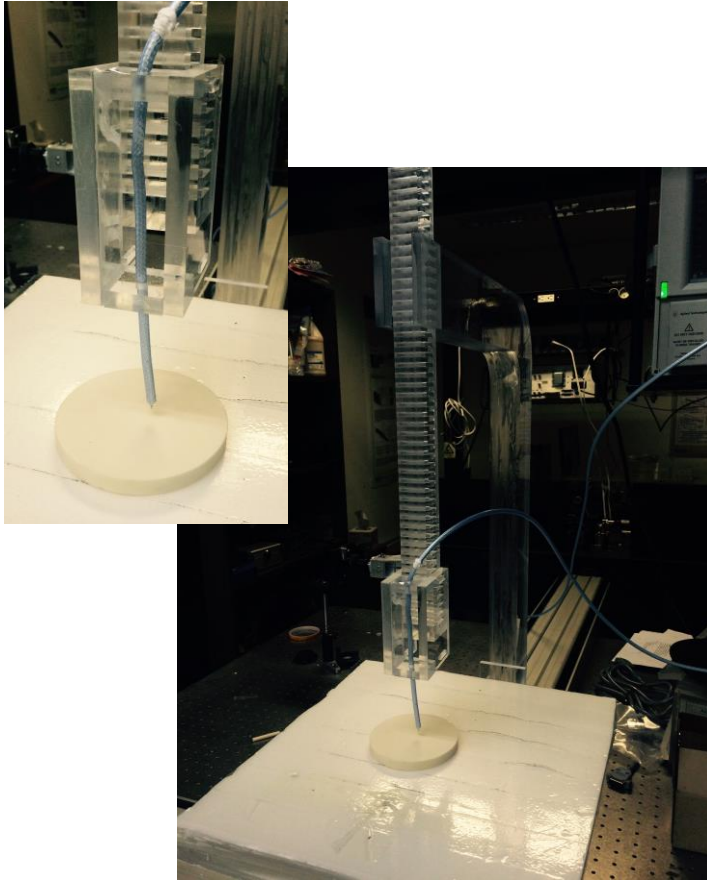
# Experimental Demonstration

## Sample

- triangular cavity with density controlled alumina posts
- alumina ( $n \sim 3.1$ ): wide range of deformation
- posts are pinned on compressed PVC foam



# Experimental Demonstration

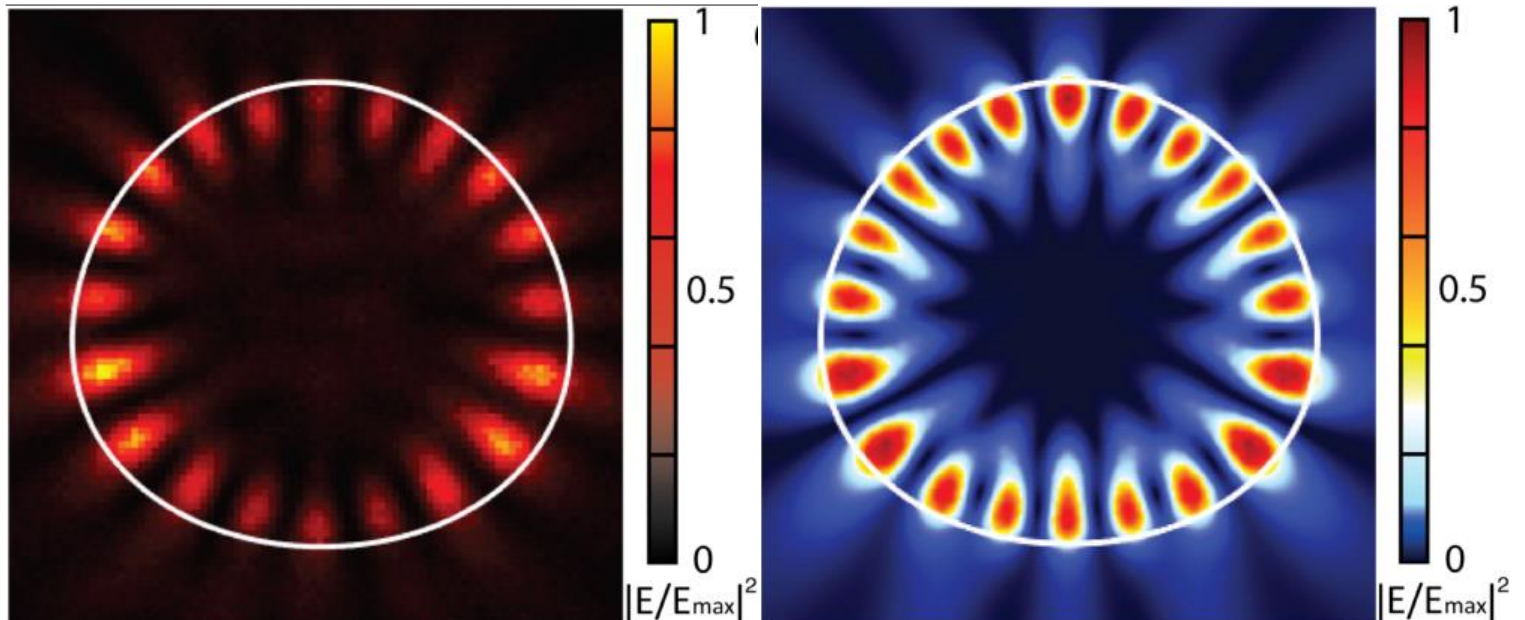


- Microwave 2-d near-field scanning
- Direct mapping of 2-d mode pattern
  - Monopole antenna as source and probe

Measured WGM in alumina disc

# Experimental Demonstration

Measured mode (2.648GHz)



Measured cWGM

Calculated cWGM

# Summary

- So far, most research efforts in transformation optics have been focused on the propagation path control of electromagnetic waves. In this work, we showed that it can be also useful in designing resonance mode properties.
- We proposed a new methodology based on transformation optics to manipulate mode properties of dielectric cavity (Q-factor, emission directionality, chirality of resonance modes, polarizations, mode couplings, etc.).
- Can be extended to control resonance modes of various waves (elastic wave, acoustic wave, etc.)
- Can be applicable to integrated optical circuits, bio-sensing devices with effective free-space optical coupling
- *Nature Photonics* **10**, 647 (2016)