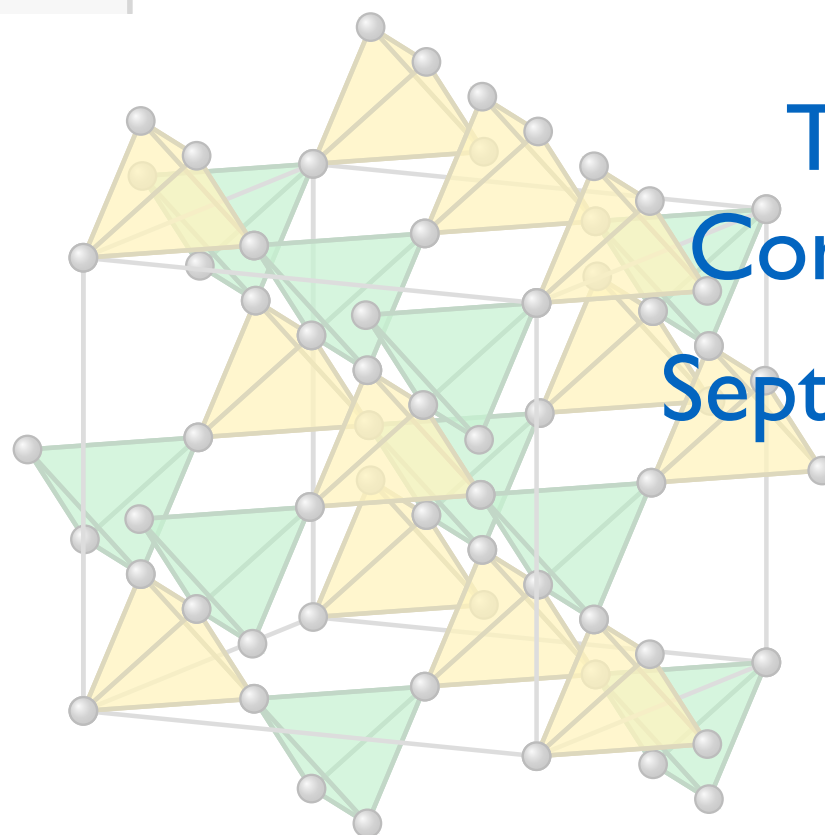


Fractonic Phases in Frustrated Magnets

Yong Baek Kim
University of Toronto

IBS-APCTP

Topological &
Correlated Matter
September 22, 2022



SIMONS FOUNDATION



John Simon
Guggenheim
Memorial Foundation

Expanding Landscape of “Topological” Phases of Matter

SPT

(Symmetry protected topology)

Haldane Phase

Topological Insulators

Topological
Superconductors

Topological
Semi-metals
(Dirac and Weyl)

band topology

Long-Range Entanglement

Fractional Quantum Hall States

Quantum Spin Liquids

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Fracton Phases

New kid in town !

Topology and Geometry

Error correcting codes

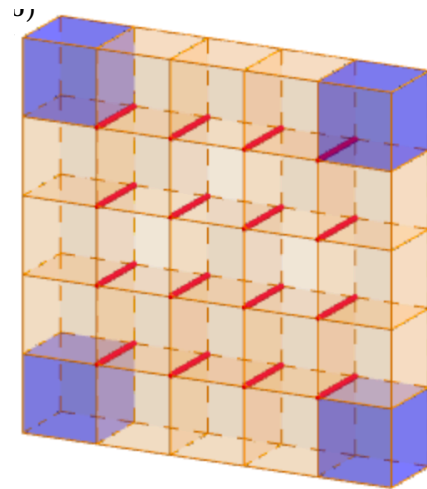
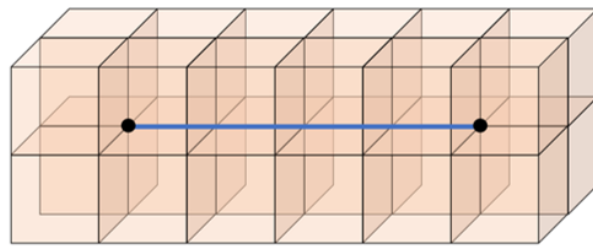
New quantum field theory (UV-IR)

Quantum Glassiness

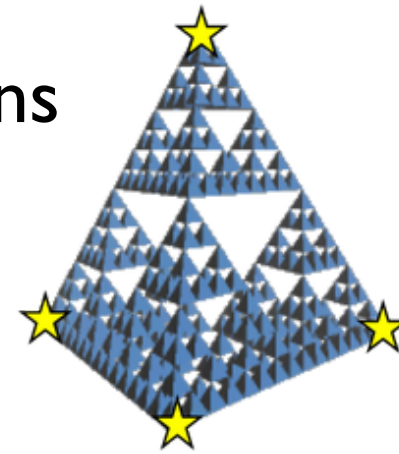
Fracton Order

Quasiparticles with **restricted mobility**

lineons



fractons



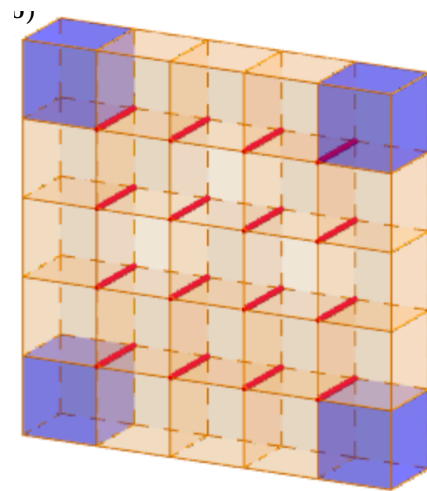
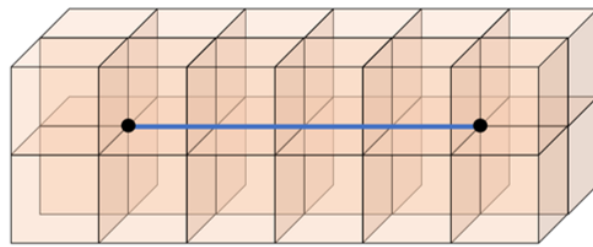
Exactly solvable **commuting projector models**
(X-Cube, Haah's codes, Chamon's code)

Vijay, Haah, Fu '15
Haah '11 Chamon '05

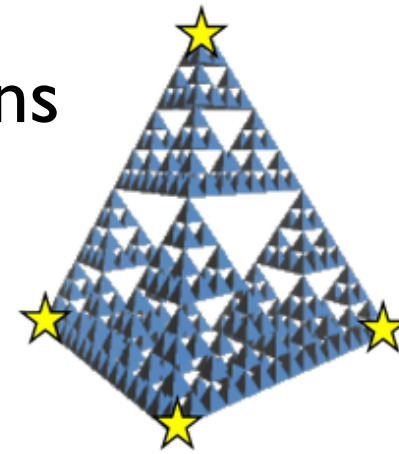
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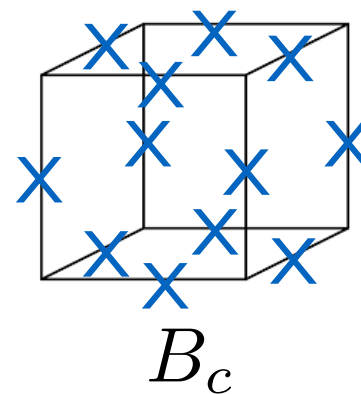
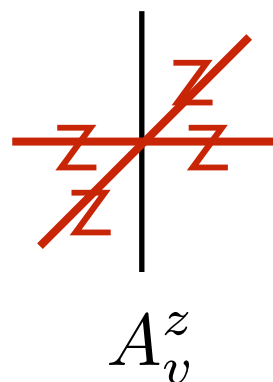
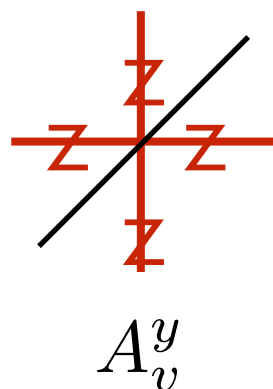
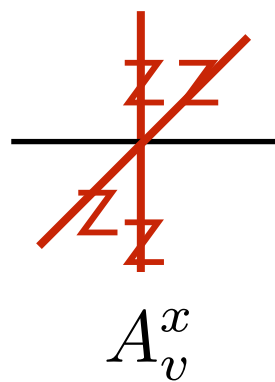
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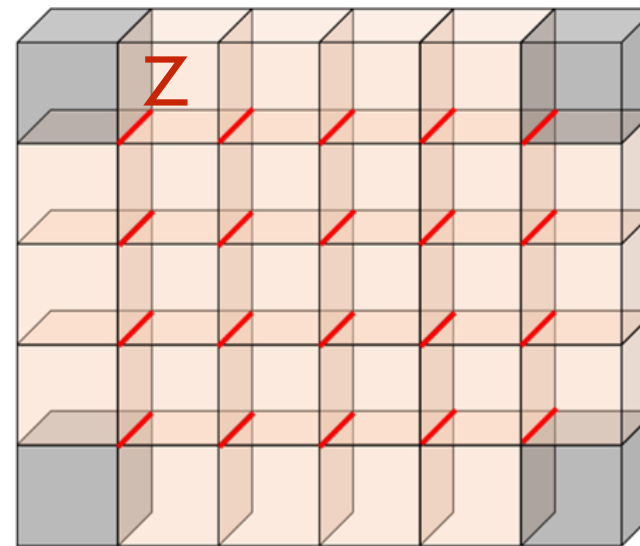
$$H = - \sum_v (A_v^x + A_v^y + A_v^z) - \sum_c B_c$$

Ground state $A_v = B_c = +1$

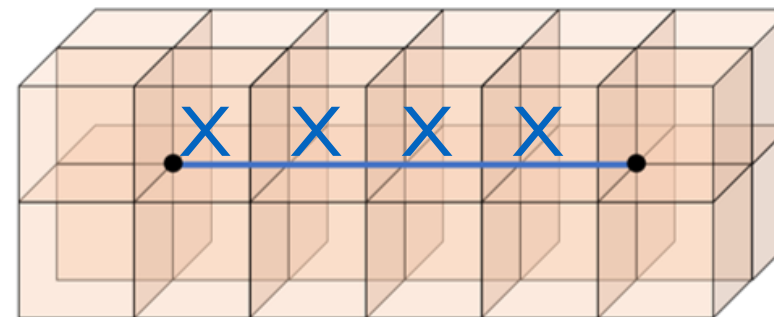


Excitations with mobility restrictions

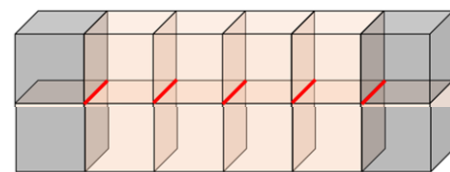
Four fractons at the corner of the membrane geometry



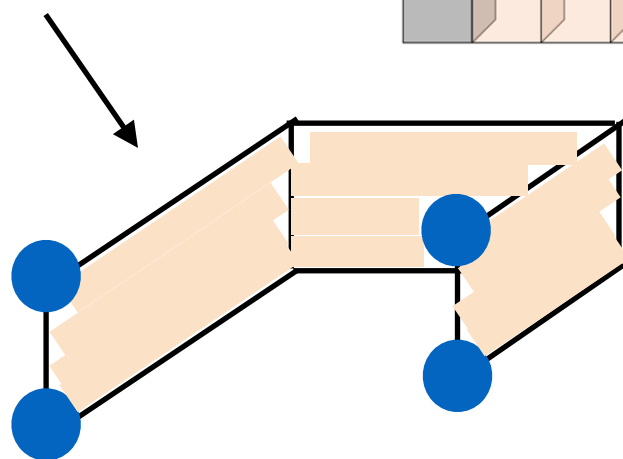
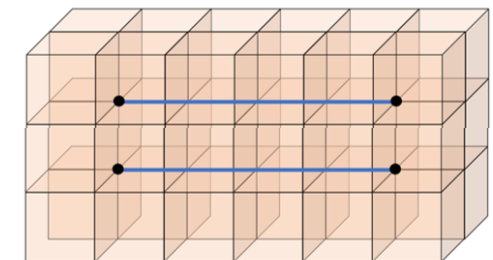
Lineons at the edge of the string



Fracton dipoles



Lineon dipoles



Planeons

Fracton Order

Sub-extensive ground state degeneracy depends on **geometry**

e.g. X-Cube model $\text{GSD} = 2^{2(L_x + L_y + L_z) - 3}$

Fracton quantum field theory

Slagle, YBK, Shirley, X.Chen, Z.Wang, Hemele, Barkeshili, Blumash, Y.You, Burnell, Prem, M.Cheng, Williamson, Aasen, Pretko, Gromov, XGWen, J.Wang, Seiberg, Shao, ...

Relation to **elasticity** theory

Radzihovsky, Pretko '18-19

Rank-2 tensor gauge theory

Pretko '17

Higher-rank Gauge Theory

Gauss's law

Rank-1 U(1)
gauge theory

$$\partial_i E_i = 0$$

$$\partial_i E^i = \rho \neq 0$$

$$A_i(x) \rightarrow A_i(x) + \partial_i \lambda(x)$$

Higher-rank Gauge Theory

Gauss's law

Rank-1 U(1)
gauge theory

$$\partial_i E_i = 0$$

$$\partial_i E^i = \rho \neq 0$$

$$A_i(x) \rightarrow A_i(x) + \partial_i \lambda(x)$$

Rank-2 U(1)
gauge theory
(Scalar charge)

$$\partial_i \partial_j E_{ij} = 0$$

$$\partial_i \partial_j E^{ij} = \rho \neq 0$$

$$A_{ij} \rightarrow A_{ij} + \partial_i \partial_j \phi(x)$$

Rank-2 U(1)
gauge theory
(Vector charge)

$$\partial_i E_{ij} = 0$$

$$\partial_i E_{ij} = \rho_j \neq 0$$

$$A_{ij} \rightarrow A_{ij} + \partial_i \lambda_j(x) + \partial_j \lambda_i(x)$$

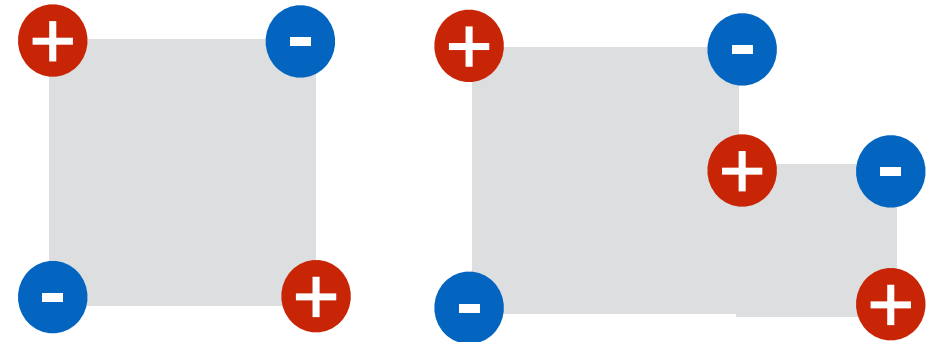
Higher-rank Gauge Theory

Rank-2 U(1)
gauge theory
(Scalar charge)

$$\partial_i \partial_j E_{ij} = 0 \quad \int \rho = 0 \quad \int \vec{x} \rho = 0$$

Both charge and dipole moments
are conserved

Quadrupolar charge configurations



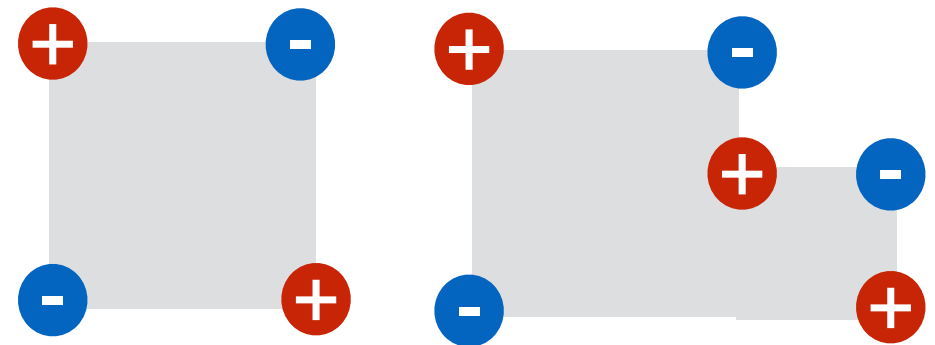
Higher-rank Gauge Theory

Rank-2 U(1)
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$$\partial_i \partial_j E_{ij} = 0 \quad \int \rho = 0 \quad \int \vec{x} \rho = 0$$

Both charge and dipole moments
are conserved

Quadrupolar charge configurations



Rank-2 U(1)
gauge theory
(Vector charge)

$$\partial_i E_{ij} = 0 \quad \int \vec{\rho} = 0 \quad \int \vec{x} \times \vec{\rho} = 0$$

Both “momentum” and “angular momentum” are conserved

Charges restricted to move along the charge vector directions

Outline

1. Quantum spin ice (a 3D quantum spin liquid) as a $U(1)$ gauge theory (review)
2. A realistic spin model for a rank-2 $U(1)$ gauge theory in breathing pyrochlore lattice (**Classical**)
3. A realistic spin model for fractonic phases in breathing pyrochlore lattice (**Quantum**):

Yan, Benton, Jaubert, Shannon 2020

SangEun Han, Adarsh Patri, YBK, arXiv:2109.03835

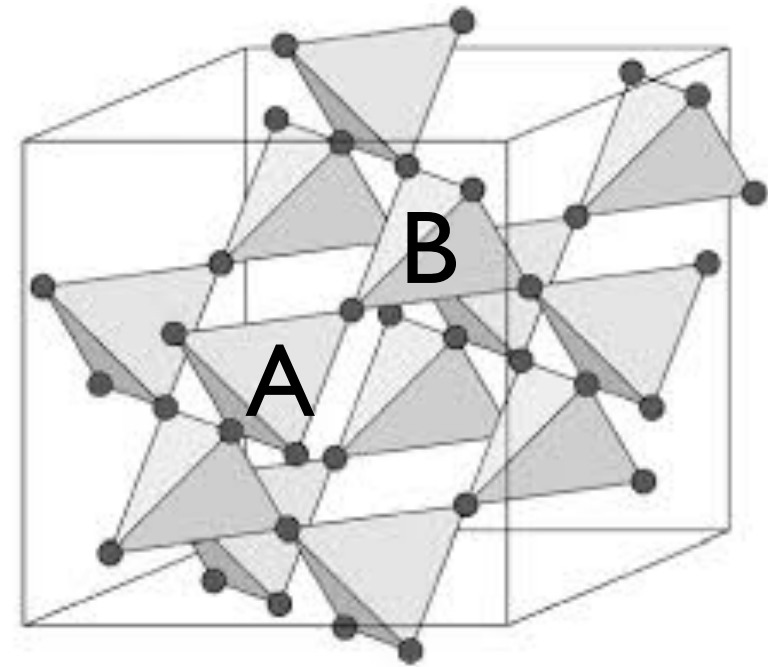
Ising Model: Classical Spin Ice

$$\mathcal{H}_I = J_z \sum_{\langle ij \rangle} S_i^z S_j^z$$

$$\mathcal{H}_I = \frac{J_z}{2} \sum_{\triangleleft} (S_{\triangleleft}^z)^2$$

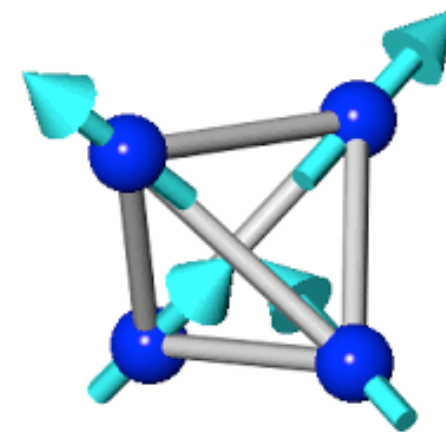
+ constant

$$S_{\triangleleft}^z = \sum_{i \in \triangleleft} S_i^z$$



$$S_{\triangleleft}^z = \sum_{i \in \triangleleft} S_i^z = 0$$

2-in/2-out: Classical Spin Ice



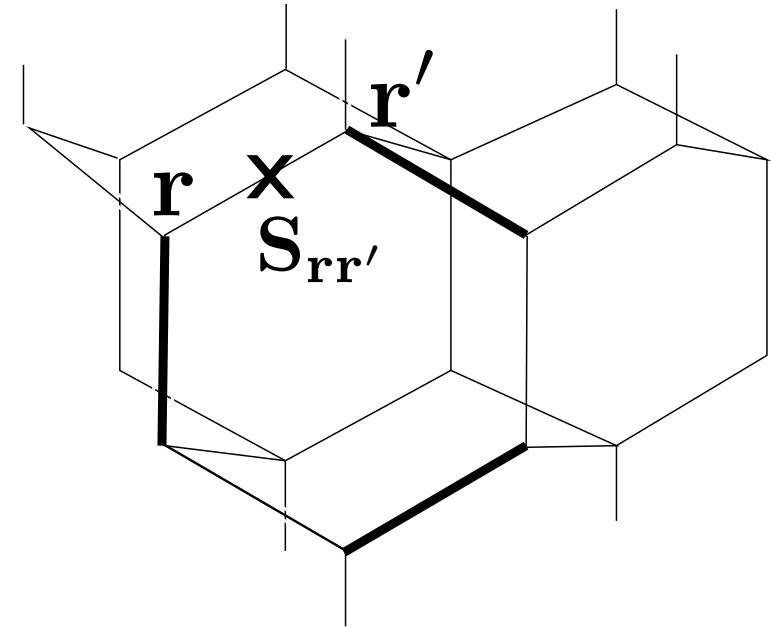
Ising Model: Classical Spin Ice

$$\mathcal{H}_I = J_z \sum_{\langle ij \rangle} S_i^z S_j^z$$

$$\mathcal{H}_I = \frac{J_z}{2} \sum_{\triangleleft} (S_{\triangleleft}^z)^2$$

+ constant

$$S_{\triangleleft}^z = \sum_{i \in \triangleleft} S_i^z$$



$$\mathbf{S}_i = \mathbf{S}_{\mathbf{r}\mathbf{r}'}$$

\mathbf{r} (dual) diamond lattice

$\mathbf{r}\mathbf{r}'$ link connecting two diamond lattice sites

$$E_{\mathbf{r}\mathbf{r}'} = \pm S_{\mathbf{r}\mathbf{r}'}^z \quad \text{dual diamond lattice}$$

$$(\nabla \cdot \mathbf{E})_{\mathbf{r}} = \sum_{\mathbf{r}' \leftarrow \mathbf{r}} E_{\mathbf{r}\mathbf{r}'} = \pm S_{\triangleleft}^z$$

$$(\nabla \cdot \mathbf{E})_{\mathbf{r}} = 0$$

Gauss's law

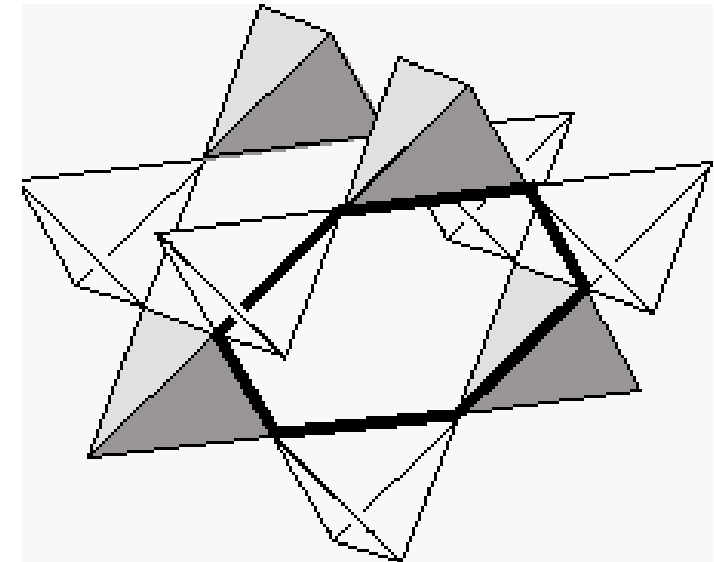
**Classical spin ice
ground state
manifold**

Quantum Spin Ice

$$\mathcal{H} = \mathcal{H}_I + \mathcal{H}'$$

$$\mathcal{H}_I = \frac{J_z}{2} \sum_{\triangleleft} (S_{\triangleleft}^z)^2 \quad S_{\triangleleft}^z = \sum_{i \in \triangleleft} S_i^z$$

$$\mathcal{H}' = -\frac{J_{\perp}}{2} \sum_{\langle ij \rangle} (S_i^+ S_j^- + h.c.)$$



$J_z \gg J_{\perp}$ degenerate perturbation theory

$$\mathcal{H}_{eff} = -J_{ring} \sum_{\hexagon} (S_1^+ S_2^- S_3^+ S_4^- S_5^+ S_6^- + h.c.)$$

$$J_{ring} = 12J_{\perp}^3 / J_z^2$$

Hermele, Balents, Fisher '03

Banerjee, Isakov, Damle, YBK '08

Quantum Electrodynamics

$$S_{\mathbf{r}\mathbf{r}'}^z = \pm E_{\mathbf{r}\mathbf{r}'} \quad S_{\mathbf{r}\mathbf{r}'}^+ = e^{\pm i A_{\mathbf{r}\mathbf{r}'}} \quad \pm \mathbf{r} \in \text{A/B} \quad [A_{\mathbf{r}\mathbf{r}'}, E_{\mathbf{r}\mathbf{r}'}] = i$$

Quantum Electrodynamics

$$S_{\mathbf{r}\mathbf{r}'}^z = \pm E_{\mathbf{r}\mathbf{r}'} \quad S_{\mathbf{r}\mathbf{r}'}^{\pm} = e^{\pm i A_{\mathbf{r}\mathbf{r}'}} \quad \pm \mathbf{r} \in \text{A/B} \quad [A_{\mathbf{r}\mathbf{r}'}, E_{\mathbf{r}\mathbf{r}'}] = i$$

$$\mathcal{H}_{eff} = -J_{ring} \sum_{\hexagon} (S_1^+ S_2^- S_3^+ S_4^- S_5^+ S_6^- + h.c.)$$

$$\rightarrow \sum_{\hexagon} e^{i(A_{12} - A_{23} + A_{34} - A_{45} + A_{56} - A_{61})} + h.c. = \sum_{\hexagon} 2 \cos(\nabla \times A)_{\hexagon}$$

Quantum Electrodynamics

$$S_{\mathbf{r}\mathbf{r}'}^z = \pm E_{\mathbf{r}\mathbf{r}'} \quad S_{\mathbf{r}\mathbf{r}'}^+ = e^{\pm i A_{\mathbf{r}\mathbf{r}'}} \quad \pm \mathbf{r} \in \text{A/B} \quad [A_{\mathbf{r}\mathbf{r}'}, E_{\mathbf{r}\mathbf{r}'}] = i$$

$$\mathcal{H}_{eff} = -J_{ring} \sum_{\text{hex}} (S_1^+ S_2^- S_3^+ S_4^- S_5^+ S_6^- + h.c.)$$

$$\rightarrow \sum_{\text{hex}} e^{i(A_{12} - A_{23} + A_{34} - A_{45} + A_{56} - A_{61})} + h.c. = \sum_{\text{hex}} 2 \cos(\nabla \times A)_{\text{hex}}$$

$$\mathcal{H} = \frac{U}{2} \sum_{\langle \mathbf{r}\mathbf{r}' \rangle} \left(E_{\mathbf{r}\mathbf{r}'}^2 - \frac{1}{4} \right) - K \sum_{\text{hex}} \cos(\nabla \times A)_{\text{hex}}$$

large U

$K \sim J_{ring}$

$$(\nabla \times A)_{\text{hex}} = \sum_{\mathbf{r}\mathbf{r}' \in \text{hex}} A_{\mathbf{r}\mathbf{r}'} = A_{12} - A_{23} + A_{34} - A_{45} + A_{56} - A_{61}$$

$$(\nabla \cdot E)_{\mathbf{r}} = \sum_{\mathbf{r}' \leftarrow \mathbf{r}} E_{\mathbf{r}\mathbf{r}'} = \pm S_{\mathbf{r}}^z$$

Fracton Phases on Breathing Pyrochlore Lattice and rank-2 Gauge Theory



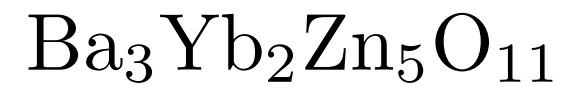
SangEun Han



Adarsh Patri

arXiv:2109.03835

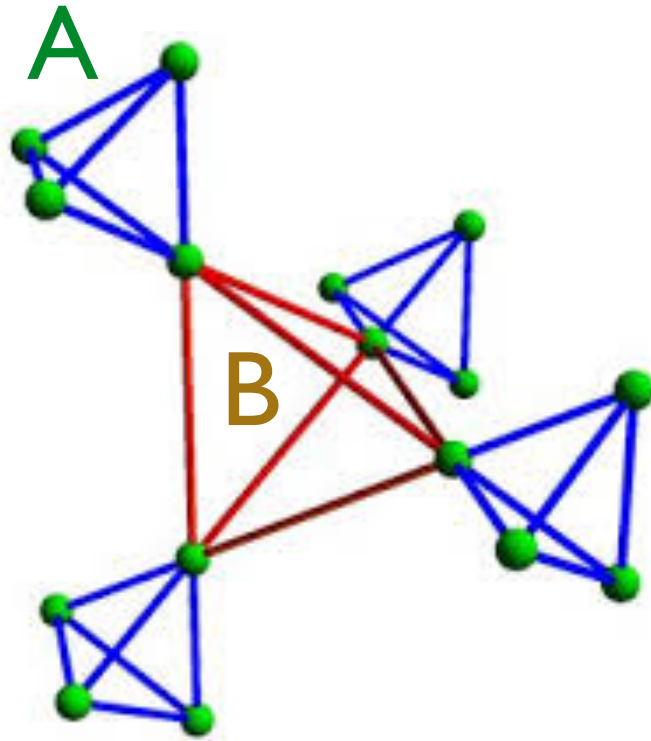
Breathing Pyrochlore Lattice



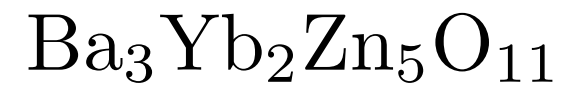
Spin exchange interactions are different on **A** and **B** tetrahedra

J_B is order of magnitude smaller than J_A

Kimura, Nakatsuji, Kimura '14



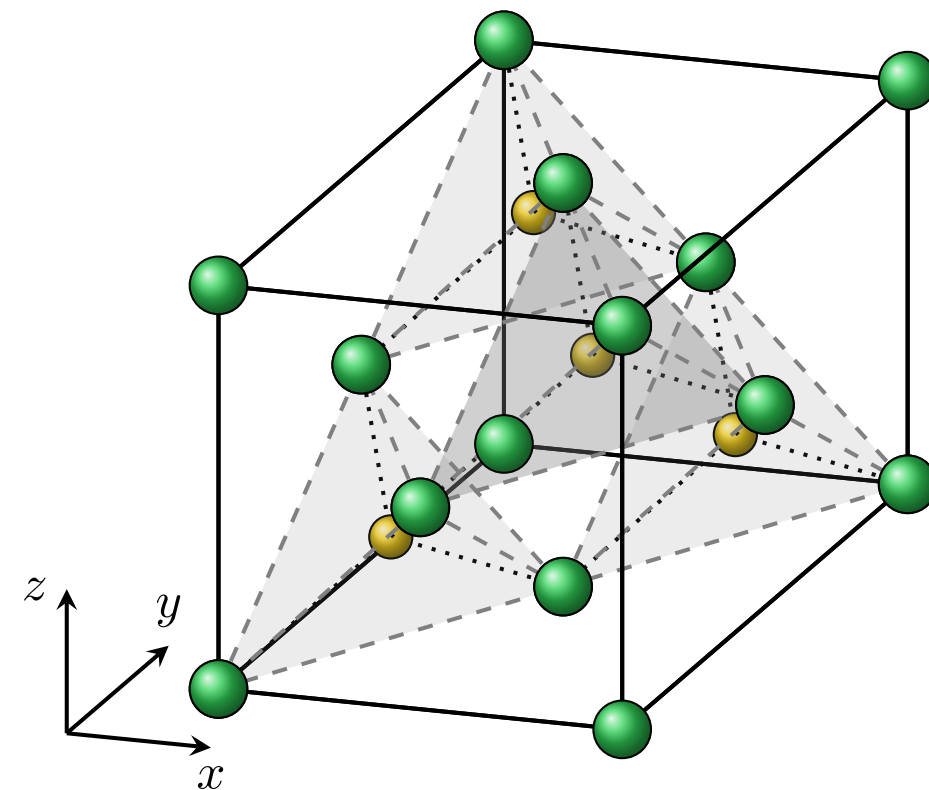
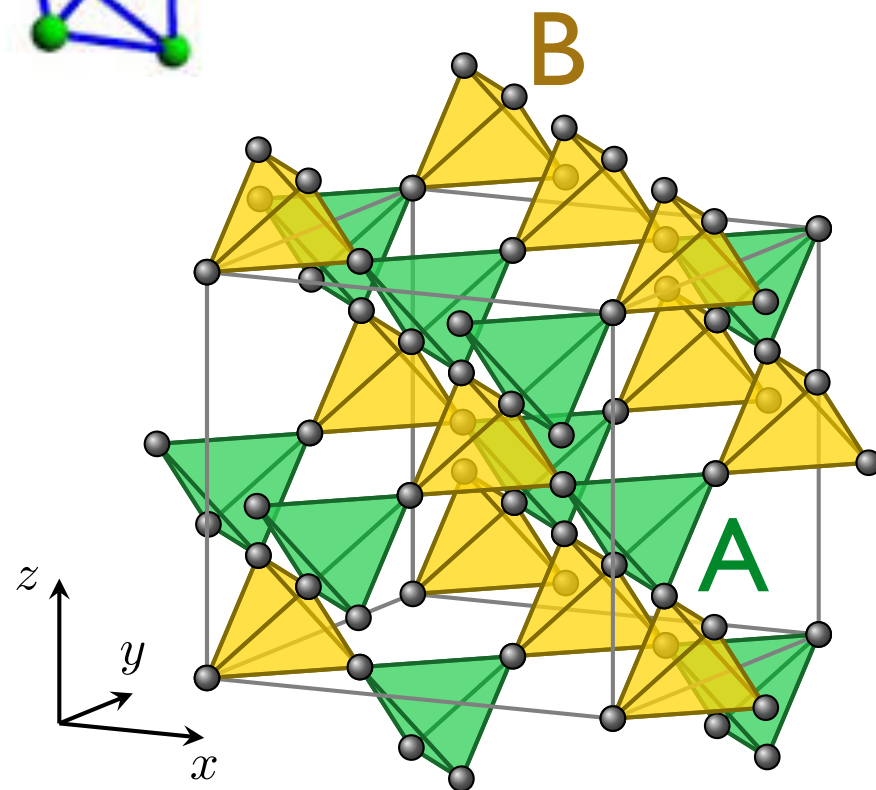
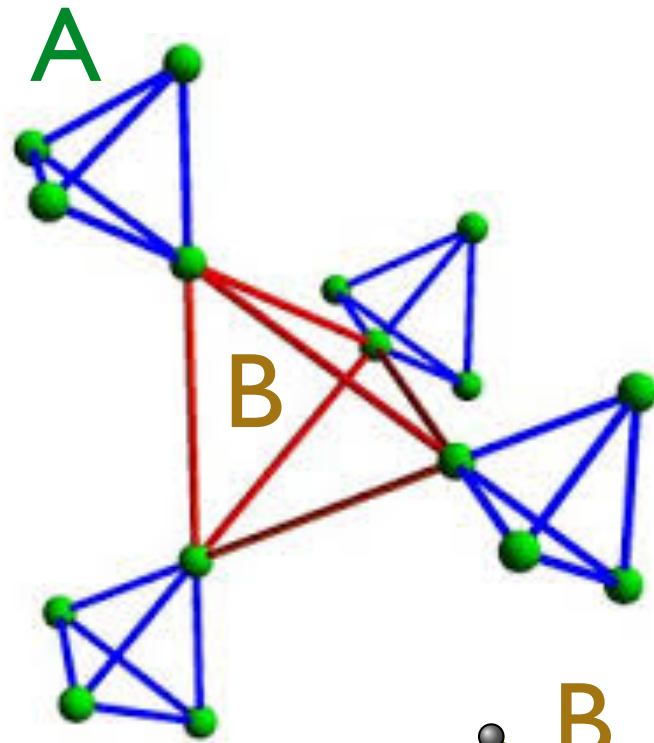
Breathing Pyrochlore Lattice



Spin exchange interactions are different on **A** and **B** tetrahedra

J_B is order of magnitude smaller than J_A

Kimura, Nakatsuji, Kimura '14



A and **B** sub-lattices make FCC lattices, respectively

Most generic spin model

$$H = \sum_{\langle ij \rangle \in A} \left[J_A \mathbf{S}_i \cdot \mathbf{S}_j + D_A \hat{\mathbf{d}}_{ij} \cdot (\mathbf{S}_i \times \mathbf{S}_j) + K_{A,ij}^\alpha S_i^\alpha S_j^\alpha + \Gamma_{A,ij}^{\gamma\delta} (S_i^\gamma S_j^\delta + S_i^\delta S_j^\gamma) + E_{A,0} \right]$$

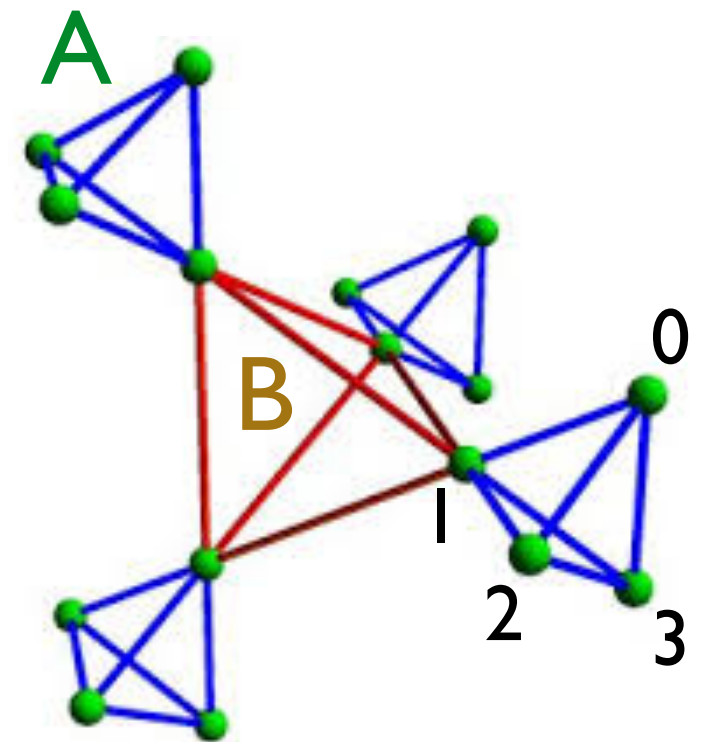
$$+ \sum_{\langle ij \rangle \in B} \left[J_B \mathbf{S}_i \cdot \mathbf{S}_j + D_B \hat{\mathbf{d}}_{ij} \cdot (\mathbf{S}_i \times \mathbf{S}_j) + K_{B,ij}^\alpha S_i^\alpha S_j^\alpha + \Gamma_{B,ij}^{\gamma\delta} (S_i^\gamma S_j^\delta + S_i^\delta S_j^\gamma) + E_{B,0} \right]$$

$J_A, J_B > 0$ Heisenberg

K_A, K_B Kitaev

D_A, D_B Dzyaloshinski-Moriya

Γ_A, Γ_B Symmetric anisotropic exchange



Most generic spin model

$$H = \sum_{\langle ij \rangle \in A} \left[J_A \mathbf{S}_i \cdot \mathbf{S}_j + D_A \hat{\mathbf{d}}_{ij} \cdot (\mathbf{S}_i \times \mathbf{S}_j) + K_{A,ij}^\alpha S_i^\alpha S_j^\alpha + \Gamma_{A,ij}^{\gamma\delta} (S_i^\gamma S_j^\delta + S_i^\delta S_j^\gamma) + E_{A,0} \right] \\ + \sum_{\langle ij \rangle \in B} \left[J_B \mathbf{S}_i \cdot \mathbf{S}_j + D_B \hat{\mathbf{d}}_{ij} \cdot (\mathbf{S}_i \times \mathbf{S}_j) + K_{B,ij}^\alpha S_i^\alpha S_j^\alpha + \Gamma_{B,ij}^{\gamma\delta} (S_i^\gamma S_j^\delta + S_i^\delta S_j^\gamma) + E_{B,0} \right]$$

$J_A, J_B > 0$ Heisenberg

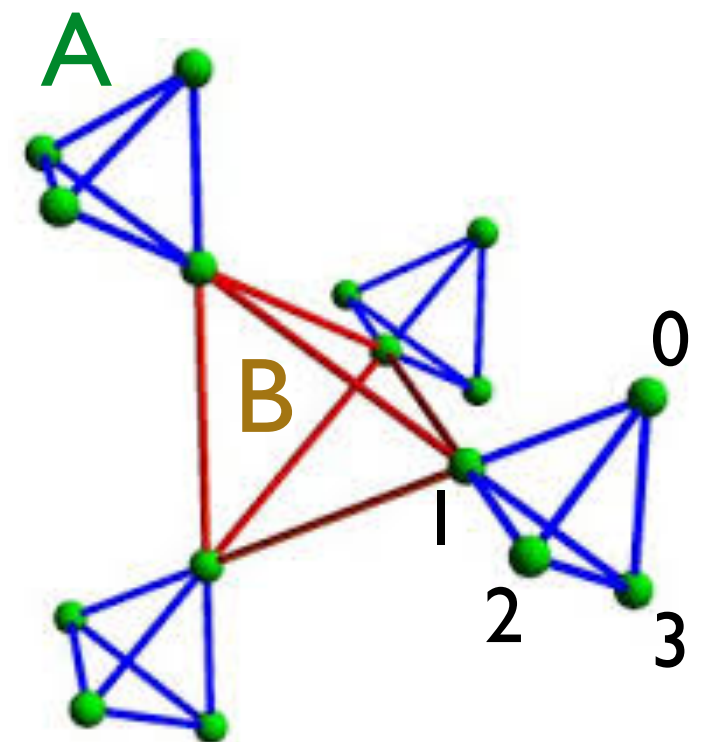
K_A, K_B Kitaev

D_A, D_B Dzyaloshinski-Moriya

Γ_A, Γ_B Symmetric anisotropic exchange

Interactions on B much smaller than those on A

Heisenberg dominates over other anisotropic interactions



Spin model via normal mode representation

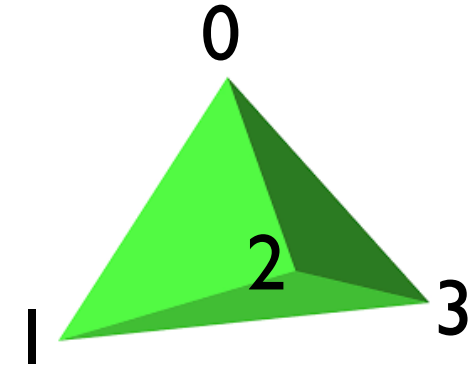
$$H = \frac{1}{2} \sum_{A,\Gamma} a_{A,\Gamma} m_{A,\Gamma}^2 + \frac{1}{2} \sum_{B,\Gamma} a_{B,\Gamma} m_{B,\Gamma}^2$$

$$\Gamma = \{A_2, E, T_2, T_{1+}, T_{1-}\}$$

Irreducible representations of T_d

$a_{A/B,\Gamma}$

Interaction coefficients “mass”

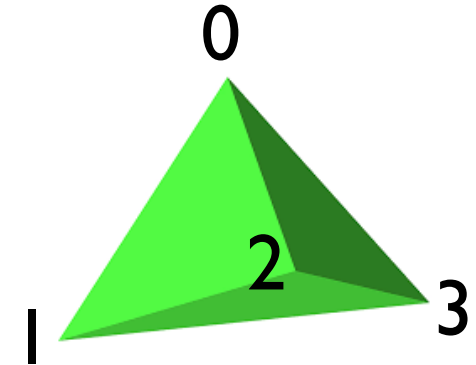


Spin model via normal mode representation

$$H = \frac{1}{2} \sum_{A,\Gamma} a_{A,\Gamma} m_{A,\Gamma}^2 + \frac{1}{2} \sum_{B,\Gamma} a_{B,\Gamma} m_{B,\Gamma}^2$$

$$\Gamma = \{A_2, E, T_2, T_{1+}, T_{1-}\}$$

$a_{A/B,\Gamma}$



Irreducible representations of T_d

Interaction coefficients “mass”

$$a_{A_2} = \frac{2E_0}{3} - J_A - \frac{4D_A}{\sqrt{2}} + K_A - 4\Gamma_A,$$

$$a_E = \frac{2E_0}{3} - J_A + \frac{2D_A}{\sqrt{2}} + K_A + 2\Gamma_A,$$

$$a_{T_{1-}} = \frac{2E_0}{3} - J_A + \frac{2D_A}{\sqrt{2}} - K_A - 2\Gamma_A,$$

$$a_{T_2} = \frac{2E_0}{3} - J_A - \frac{2D_A}{\sqrt{2}} - K_A + 2\Gamma_A,$$

$$a_{T_{1+}} = \frac{2E_0}{3} + 3J_A + K_A.$$

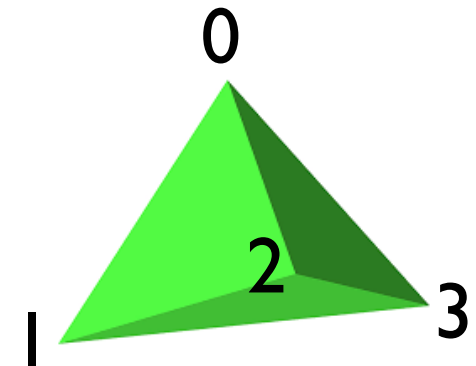
similarly for B sub-lattices

Spin model via normal mode representation

$$H = \frac{1}{2} \sum_{A,\Gamma} a_{A,\Gamma} m_{A,\Gamma}^2 + \frac{1}{2} \sum_{B,\Gamma} a_{B,\Gamma} m_{B,\Gamma}^2$$

$$\Gamma = \{A_2, E, T_2, T_{1+}, T_{1-}\}$$

$a_{A/B,\Gamma}$



Irreducible representations of T_d

Interaction “mass” coefficients

$$a_{A_2} = \frac{2E_0}{3} - J_A - \frac{4D_A}{\sqrt{2}} + K_A - 4\Gamma_A,$$

$$a_E = \frac{2E_0}{3} - J_A + \frac{2D_A}{\sqrt{2}} + K_A + 2\Gamma_A,$$

$$a_{T_{1-}} = \frac{2E_0}{3} - J_A + \frac{2D_A}{\sqrt{2}} - K_A - 2\Gamma_A,$$

$$a_{T_2} = \frac{2E_0}{3} - J_A - \frac{2D_A}{\sqrt{2}} - K_A + 2\Gamma_A,$$

$$a_{T_{1+}} = \frac{2E_0}{3} + 3J_A + K_A.$$

similarly for B sub-lattices

Assume $J_A, J_B > 0$

$$J \gg |D|, |K|, |\Gamma|$$

Heaviest mode

$$a_{(A,B),T_{1+}} > 0$$

We can set

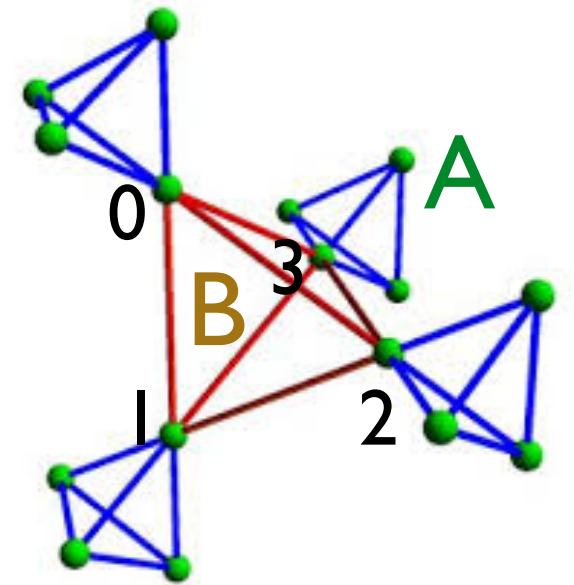
$$\mathbf{m}_{A,T_{1+}} = 0 \quad \mathbf{m}_{B,T_{1+}} = 0$$

Emergent constraints

Yan, Benton, Jaubert, Shannon '20

$$\mathbf{m}_{B, T_{1+}} = 0$$

generates the constraints on the normal modes of the A tetrahedra



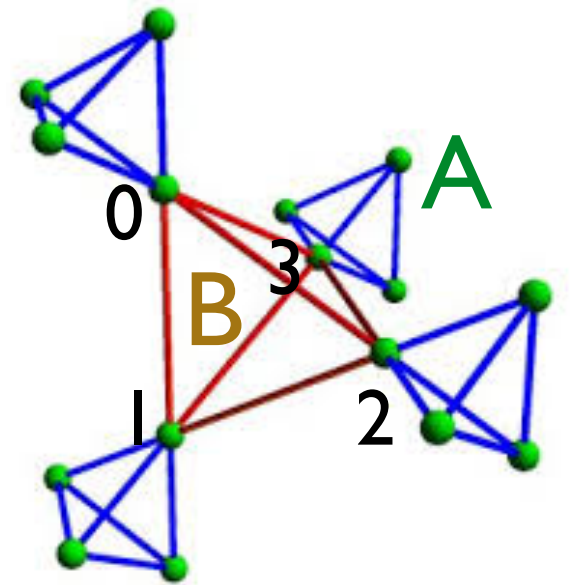
Emergent constraints

Yan, Benton, Jaubert, Shannon '20

$$\mathbf{m}_{B,T_{1+}} = 0$$

generates **the constraints on the normal modes of the A tetrahedra**

$$\frac{2}{\sqrt{3}} \begin{pmatrix} \partial_x m_{A,E}^1 \\ -\frac{1}{2} \partial_y m_{A,E}^1 + \frac{\sqrt{3}}{2} \partial_y m_{A,E}^2 \\ -\frac{1}{2} \partial_z m_{A,E}^1 - \frac{\sqrt{3}}{2} \partial_z m_{A,E}^2 \end{pmatrix} + \begin{pmatrix} \partial_y m_{A,T_{1-}}^z + \partial_z m_{A,T_{1-}}^y \\ \partial_x m_{A,T_{1-}}^z + \partial_z m_{A,T_{1-}}^x \\ \partial_x m_{A,T_{1-}}^y + \partial_y m_{A,T_{1-}}^x \end{pmatrix} - \sqrt{\frac{2}{3}} \nabla m_{A,A_2} - \nabla \times \mathbf{m}_{A,T_2} = 0.$$



Emergent constraints

Yan, Benton, Jaubert, Shannon '20

$$\mathbf{m}_{B,T_{1+}} = 0$$

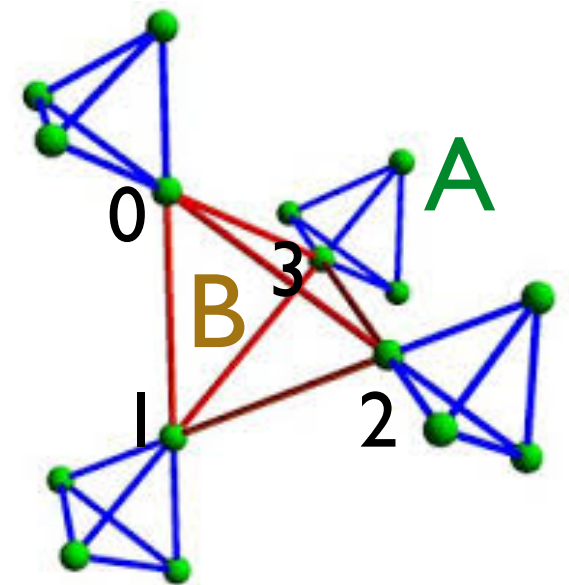
generates **the constraints on the normal modes of the A tetrahedra**

$$\frac{2}{\sqrt{3}} \begin{pmatrix} \partial_x m_{A,E}^1 \\ -\frac{1}{2} \partial_y m_{A,E}^1 + \frac{\sqrt{3}}{2} \partial_y m_{A,E}^2 \\ -\frac{1}{2} \partial_z m_{A,E}^1 - \frac{\sqrt{3}}{2} \partial_z m_{A,E}^2 \end{pmatrix} + \begin{pmatrix} \partial_y m_{A,T_{1-}}^z + \partial_z m_{A,T_{1-}}^y \\ \partial_x m_{A,T_{1-}}^z + \partial_z m_{A,T_{1-}}^x \\ \partial_x m_{A,T_{1-}}^y + \partial_y m_{A,T_{1-}}^x \end{pmatrix}$$

$$- \sqrt{\frac{2}{3}} \nabla m_{A,A_2} - \nabla \times \mathbf{m}_{A,T_2} = 0.$$

$$= \nabla \cdot (\mathbf{E}_A^{\text{trace}} + \mathbf{E}_A^{\text{sym}} + \mathbf{E}_A^{\text{antisym}}) = 0$$

Gauss's law !



Emergent constraints

Yan, Benton, Jaubert, Shannon '20

$$\mathbf{m}_{B,T_{1+}} = 0$$

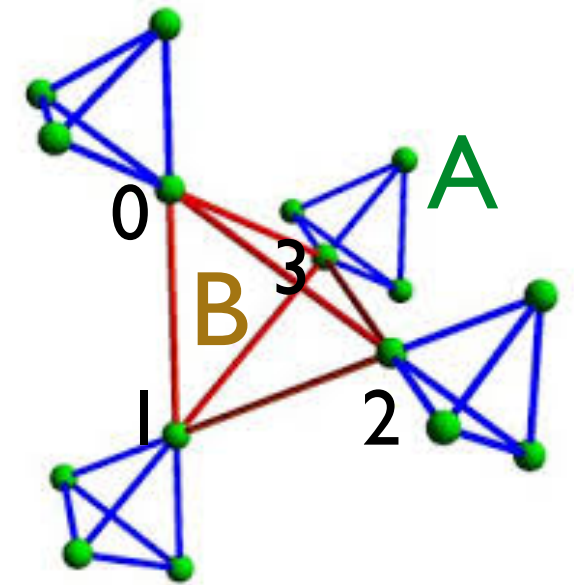
generates **the constraints on the normal modes of the A tetrahedra**

$$\frac{2}{\sqrt{3}} \begin{pmatrix} \partial_x m_{A,E}^1 \\ -\frac{1}{2} \partial_y m_{A,E}^1 + \frac{\sqrt{3}}{2} \partial_y m_{A,E}^2 \\ -\frac{1}{2} \partial_z m_{A,E}^1 - \frac{\sqrt{3}}{2} \partial_z m_{A,E}^2 \end{pmatrix} + \begin{pmatrix} \partial_y m_{A,T_{1-}}^z + \partial_z m_{A,T_{1-}}^y \\ \partial_x m_{A,T_{1-}}^z + \partial_z m_{A,T_{1-}}^x \\ \partial_x m_{A,T_{1-}}^y + \partial_y m_{A,T_{1-}}^x \end{pmatrix}$$

$$- \sqrt{\frac{2}{3}} \nabla m_{A,A_2} - \nabla \times \mathbf{m}_{A,T_2} = 0.$$

$$= \nabla \cdot (\mathbf{E}_A^{\text{trace}} + \mathbf{E}_A^{\text{sym}} + \mathbf{E}_A^{\text{antisym}}) = 0$$

Gauss's law !



$$\mathbf{E}_A^{\text{sym}} = \begin{pmatrix} \frac{2}{\sqrt{3}} m_{A,E}^1 & m_{A,T_{1-}}^z & m_{A,T_{1-}}^y \\ m_{A,T_{1-}}^z & -\frac{1}{\sqrt{3}} m_{A,E}^1 + m_{A,E}^2 & m_{A,T_{1-}}^x \\ m_{A,T_{1-}}^y & m_{A,T_{1-}}^x & -\frac{1}{\sqrt{3}} m_{A,E}^1 - m_{A,E}^2 \end{pmatrix}$$

**Rank-2
electric fields**

$$(\mathbf{E}_A^{\text{trace}})_{ij} = - \sqrt{\frac{2}{3}} m_{A,A_2} \delta_{ij}$$

$$(\mathbf{E}_A^{\text{antisym}})_{ij} = - \epsilon_{ijk} m_{A,T_2}^k$$

Rank-2 gauge theory (Classical)

Yan, Benton, Jaubert, Shannon '20

Choose

$$a_E = a_{T_{1-}} < a_{A_2}, a_{T_2}, a_{T_{1+}}$$

Then $\mathbf{m}_{T_{1+}} = \mathbf{m}_{T_2} = \mathbf{0}$, $m_{A_2} = 0$ in the low energy limit

Rank-2 gauge theory (Classical)

Yan, Benton, Jaubert, Shannon '20

Choose

$$a_E = a_{T_{1-}} < a_{A_2}, a_{T_2}, a_{T_{1+}}$$

Then $\mathbf{m}_{T_{1+}} = \mathbf{m}_{T_2} = \mathbf{0}$, $m_{A_2} = 0$ in the low energy limit

The remaining electric fields are symmetric and traceless

$$\mathbf{E}_A^{\text{sym}} = \begin{pmatrix} \frac{2}{\sqrt{3}} m_{A,E}^1 & m_{A,T_{1-}}^z & m_{A,T_{1-}}^y \\ m_{A,T_{1-}}^z & -\frac{1}{\sqrt{3}} m_{A,E}^1 + m_{A,E}^2 & m_{A,T_{1-}}^x \\ m_{A,T_{1-}}^y & m_{A,T_{1-}}^x & -\frac{1}{\sqrt{3}} m_{A,E}^1 - m_{A,E}^2 \end{pmatrix}$$

$$H = \frac{1}{2} \sum_{A,\Gamma} a_{A,\Gamma} m_{A,\Gamma}^2 \quad \longrightarrow \quad H = \frac{1}{2} \int d^3x E_{ij} E^{ij}$$

$\Gamma = E, T_{1-}$ $\partial_i E_{ij} = 0$

Traceless and symmetric tensor gauge theory

Rank-2 gauge theory (Classical)

Yan, Benton, Jaubert, Shannon '20

Choose

$$a_E = a_{T_{1-}} < a_{A_2}, a_{T_2}, a_{T_{1+}}$$

Then $\mathbf{m}_{T_{1+}} = \mathbf{m}_{T_2} = \mathbf{0}$, $m_{A_2} = 0$ in the low energy limit

We could achieve this by taking

$$a_{A_2} = -J_A - \frac{4D_A}{\sqrt{2}},$$

$$a_E = a_{T_{1-}} = -J_A + \frac{2D_A}{\sqrt{2}},$$

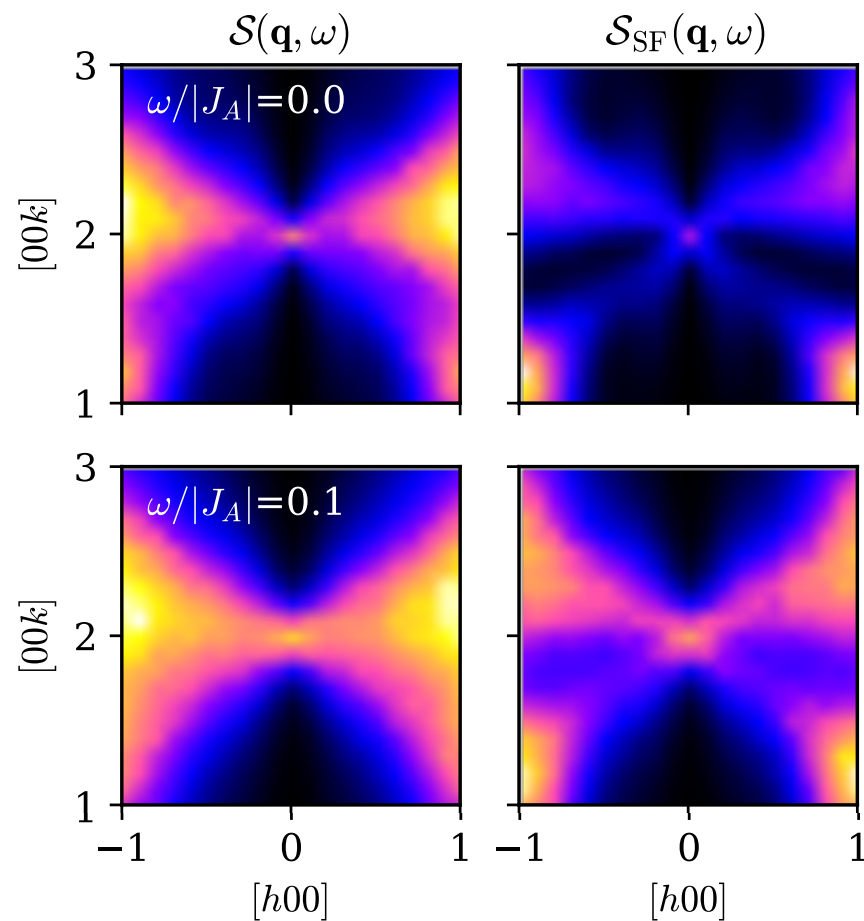
$$a_{T_2} = -J_A - \frac{2D_A}{\sqrt{2}}$$

$$a_{T_{1+}} = 3J_A.$$

K , Γ and E_0 to zero

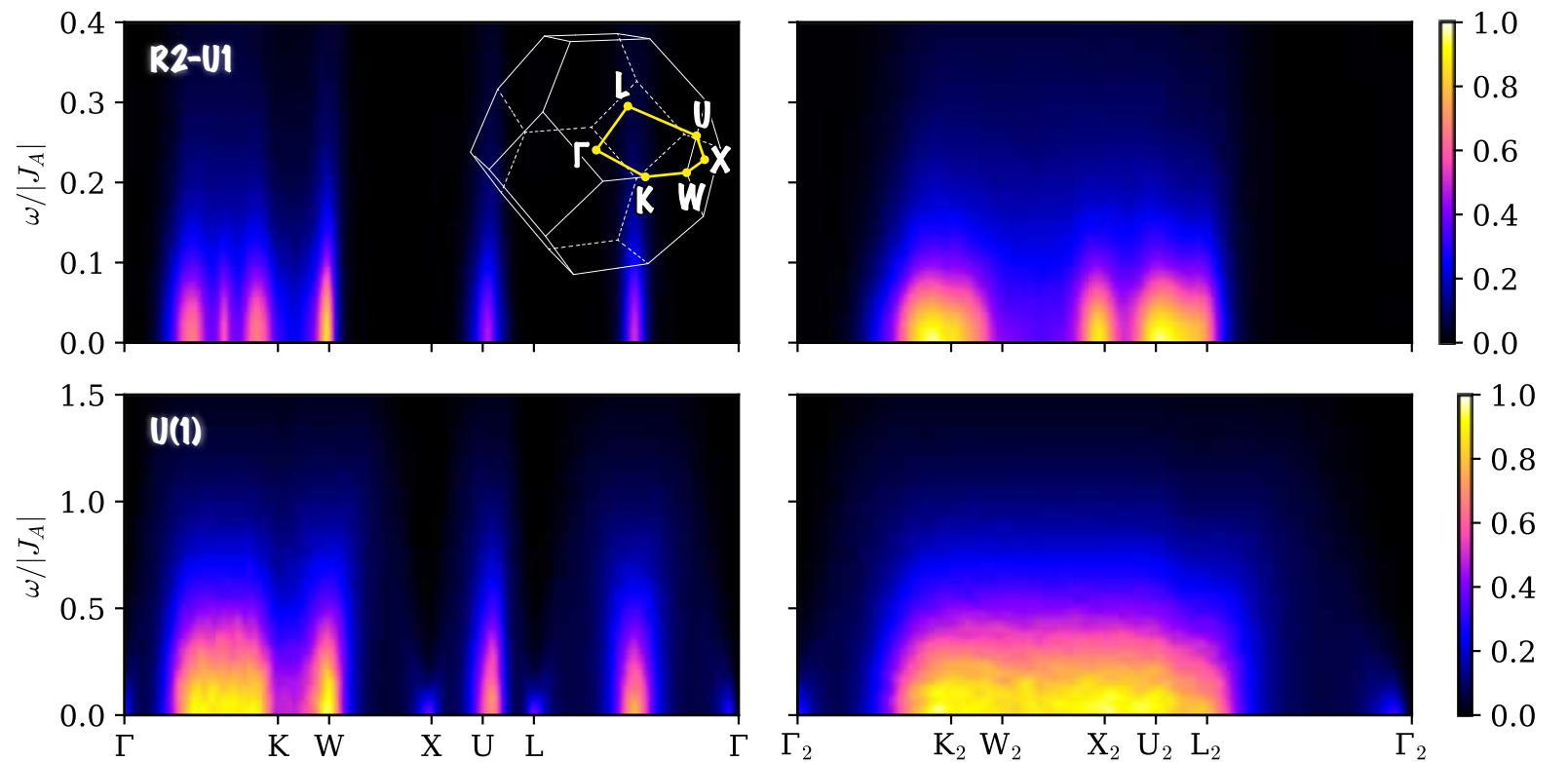
Dynamical signatures in the neutron scattering

E. Z. Zhang, F. L. Buessen, YBK, arXiv:2110.10180



Unpolarized

Spin flip
scattering
(Polarized)



Spin flip scattering (Polarized)

4-fold pinch point

c.f. Equaltime correlator

Yan, Benton, Jaubert, Shannon '20

Quantum Theory

$\mathbf{m}_{A,\Gamma}$ are essentially spin variables

E_{ij} are non-commuting fields



Non-commutative
quantum field theory

Quantum Theory

$\mathbf{m}_{A,\Gamma}$ are essentially spin variables
 E_{ij} are non-commuting fields \longrightarrow Non-commutative quantum field theory

Look at a different limit

$$a_{A_2} = a_E < a_{T_{1-}} < a_{T_2} < a_{T_{1+}}$$

Then $m_{A,A_2}, \mathbf{m}_{A,E} \neq 0$ in the low energy limit

Quantum Theory

$\mathbf{m}_{A,\Gamma}$ are essentially spin variables

E_{ij} are non-commuting fields



Non-commutative
quantum field theory

Look at a different limit

$$a_{A_2} = a_E < a_{T_{1-}} < a_{T_2} < a_{T_{1+}}$$

Then $m_{A,A_2}, \mathbf{m}_{A,E} \neq 0$ in the low energy limit

Recall

$$\mathbf{E}_A^{\text{sym}} = \begin{pmatrix} \frac{2}{\sqrt{3}} m_{A,E}^1 & m_{A,T_{1-}}^z & m_{A,T_{1-}}^y \\ m_{A,T_{1-}}^z & -\frac{1}{\sqrt{3}} m_{A,E}^1 + m_{A,E}^2 & m_{A,T_{1-}}^x \\ m_{A,T_{1-}}^y & m_{A,T_{1-}}^x & -\frac{1}{\sqrt{3}} m_{A,E}^1 - m_{A,E}^2 \end{pmatrix}$$

$$(\mathbf{E}_A^{\text{trace}})_{ij} = -\sqrt{\frac{2}{3}} m_{A,A_2} \delta_{ij} \quad (\mathbf{E}_A^{\text{antisym}})_{ij} = -\epsilon_{ijk} m_{A,T_2}^k$$

E_{ij} becomes symmetric, diagonal and traceful

Quantum Theory

$\mathbf{m}_{A,\Gamma}$ are essentially spin variables

E_{ij} are non-commuting fields



Non-commutative
quantum field theory

Look at a different limit

$$a_{A_2} = a_E < a_{T_{1-}} < a_{T_2} < a_{T_{1+}}$$

Then $m_{A,A_2}, \mathbf{m}_{A,E} \neq 0$ in the low energy limit

This can be achieved by

$$a_{A,A_2} = a_E = -J_A - |K_A|,$$

$$D_A < 0$$

$$a_{A,T_{1-}} = -J_A - \frac{4|D_A|}{\sqrt{2}} + |K_A|,$$

$$\Gamma_A = |D_A|/\sqrt{2} > 0$$

$$a_{A,T_2} = -J_A + \frac{4|D_A|}{\sqrt{2}} + |K_A|,$$

$$K_A < 0$$

$$a_{A,T_{1+}} = 3J_A - |K_A|,$$

$$J_A > 0$$

Quantum Theory

We can work with

$$(\mathbb{E}_A)_{ij} = \sqrt{2}(\mathbf{E}_A^{\text{sym}} + \mathbf{E}_A^{\text{trace}})_{ij}$$

$$\partial_i (\mathbb{E}_A)_{ij} = \mathbf{0} \quad \forall i \in \{x, y, z\}$$

Gauss's law constraint

Quantum Theory

We can work with

$$(\mathbb{E}_A)_{ij} = \sqrt{2}(\mathbf{E}_A^{\text{sym}} + \mathbf{E}_A^{\text{trace}})_{ij} \quad \partial_i(\mathbb{E}_A)_{ij} = \mathbf{0} \quad \forall i \in \{x, y, z\}$$

Gauss's law constraint

$$H = H_0 + H' \quad a_{A,A_2} = a_{A,E} = -8|a_A|$$

$$H_0 = -4|a_A| \sum_A (\mathbf{m}_{A,E}^2 + m_{A,A_2}^2)$$

$$H' = \frac{1}{2} \sum_{\substack{B,\Gamma \\ \Gamma \neq T_{1+}}} a_{B,\Gamma} m_{B,\Gamma}^2$$

perturbation

Quantum Theory

We can work with

$$(\mathbb{E}_A)_{ij} = \sqrt{2}(\mathbf{E}_A^{\text{sym}} + \mathbf{E}_A^{\text{trace}})_{ij} \quad \partial_i(\mathbb{E}_A)_{ij} = \mathbf{0} \quad \forall i \in \{x, y, z\}$$

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$$H' = \frac{1}{2} \sum_{\substack{B,\Gamma \\ \Gamma \neq T_{1+}}} a_{B,\Gamma} m_{B,\Gamma}^2$$

$$H_0 = -|a_A| \sum_A (\mathbb{E}_{A,xx}^2 + \mathbb{E}_{A,yy}^2 + \mathbb{E}_{A,zz}^2)$$

$$= -|a_A| \sum_A \vec{\mathbb{E}}_A^2$$

perturbation

Quantum Theory

We can work with

$$(\mathbb{E}_A)_{ij} = \sqrt{2}(\mathbf{E}_A^{\text{sym}} + \mathbf{E}_A^{\text{trace}})_{ij} \quad \partial_i(\mathbb{E}_A)_{ij} = \mathbf{0} \quad \forall i \in \{x, y, z\}$$

Gauss's law constraint

$$H = H_0 + H' \quad a_{A,A_2} = a_{A,E} = -8|a_A|$$

$$H_0 = -4|a_A| \sum_A (\mathbf{m}_{A,E}^2 + m_{A,A_2}^2)$$

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$$H_0 = -|a_A| \sum_A (\mathbb{E}_{A,xx}^2 + \mathbb{E}_{A,yy}^2 + \mathbb{E}_{A,zz}^2)$$

perturbation

$$= -|a_A| \sum_A \vec{\mathbb{E}}_A^2.$$

$$[\mathbb{E}_{A,i}, \mathbb{E}_{A',j}] = i\delta_{A,A'} \epsilon_{ijk} \mathbb{E}_{A,k} \quad \vec{\mathbb{E}}_A \equiv (\mathbb{E}_{A,xx}, \mathbb{E}_{A,yy}, \mathbb{E}_{A,zz})$$

$$\{i, j, k\} \in \{xx, yy, zz\}$$

Quantum Theory

$$[\vec{\mathbb{E}}_A^2, \mathbb{E}_{A,zz}] = 0 \quad \text{This is just like } \vec{S}^2 \text{ and } S^z$$

$$\vec{\mathbb{E}}_A^2 = S(S + 1) \quad \mathbb{E}_{A,zz} = S^z$$

For each tetrahedron, the ground state is five-fold degenerate with

$$\mathbb{E}_{A,zz} = -2, -1, 0, 1, 2 \quad (\mathbf{S=2 \text{ states}})$$

Quantum Theory

$$[\vec{\mathbb{E}}_A^2, \mathbb{E}_{A,zz}] = 0 \quad \text{This is just like } \vec{S}^2 \text{ and } S^z$$

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For each tetrahedron, the ground state is five-fold degenerate with

$$\mathbb{E}_{A,zz} = -2, -1, 0, 1, 2 \quad (S=2 \text{ states})$$

Ground state manifold of the network of A-tetrahedra is described by the $S=2$ multiplet, satisfying the Gauss's law constraint

$$\partial_i (\vec{\mathbb{E}}_A)_{ij} = \mathbf{0} \quad \forall i \in \{x, y, z\}$$

Massive degeneracy

Spinor charges

Relaxing the Gauss's law constraint, the electric charges are located at the B-tetrahedra center

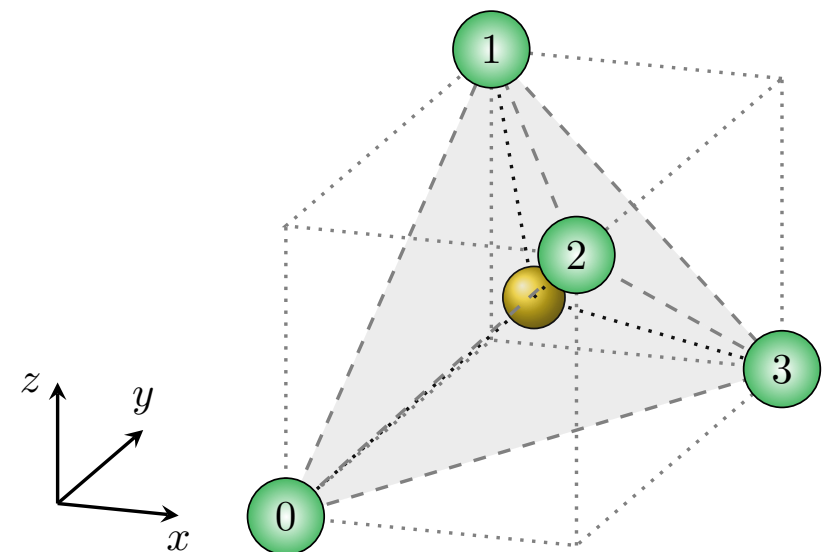
$$\rho_B^x = -\mathbb{E}_{xx}^0 - \mathbb{E}_{xx}^1 + \mathbb{E}_{xx}^2 - \mathbb{E}_{xx}^3$$

$$\rho_B^y = -\mathbb{E}_{xx}^0 + \mathbb{E}_{xx}^1 - \mathbb{E}_{xx}^2 + \mathbb{E}_{xx}^3$$

$$\rho_B^z = -\mathbb{E}_{xx}^0 + \mathbb{E}_{xx}^1 + \mathbb{E}_{xx}^2 - \mathbb{E}_{xx}^3$$

$$[\rho_B^i, \rho_B^j] = i\epsilon_{ij}^k \rho_B^k$$

$$\mathbf{k} = \mathbf{x}, \mathbf{y}, \mathbf{z}$$



Quantum fluctuations

Note the non-commuting nature of the electric fields

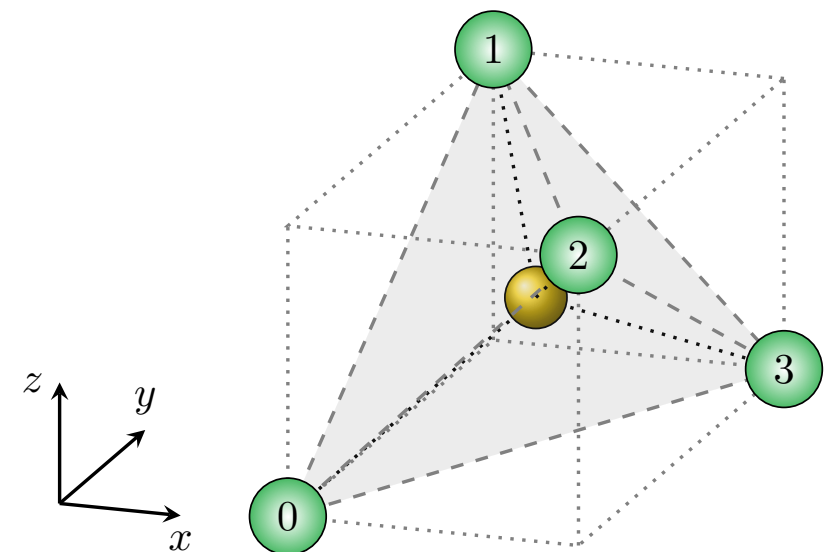
$$\mathbb{E}_{A,zz}^{\pm} = (\mathbb{E}_{A,xx} \pm i\mathbb{E}_{A,yy})/2 \quad [\mathbb{E}_{A,zz}, \mathbb{E}_{A,zz}^{\pm}] = \pm \mathbb{E}_{A,zz}^{\pm}$$

$$[\rho_B^z, \mathbb{E}_{0,zz}^{\pm}] = \mp \mathbb{E}_{0,zz}^{\pm}$$

$$[\rho_B^z, \mathbb{E}_{2,zz}^{\pm}] = \pm \mathbb{E}_{2,zz}^{\pm}$$

$$[\rho_B^z, \mathbb{E}_{1,zz}^{\pm}] = \pm \mathbb{E}_{1,zz}^{\pm}$$

$$[\rho_B^z, \mathbb{E}_{3,zz}^{\pm}] = \mp \mathbb{E}_{3,zz}^{\pm}$$



Quantum fluctuations

Note the non-commuting nature of the electric fields

$$\mathbb{E}_{A,zz}^{\pm} = (\mathbb{E}_{A,xx} \pm i\mathbb{E}_{A,yy})/2 \quad [\mathbb{E}_{A,zz}, \mathbb{E}_{A,zz}^{\pm}] = \pm \mathbb{E}_{A,zz}^{\pm}$$

$$[\rho_B^z, \mathbb{E}_{0,zz}^{\pm}] = \mp \mathbb{E}_{0,zz}^{\pm} \quad [\rho_B^z, \mathbb{E}_{2,zz}^{\pm}] = \pm \mathbb{E}_{2,zz}^{\pm}$$

$$[\rho_B^z, \mathbb{E}_{1,zz}^{\pm}] = \pm \mathbb{E}_{1,zz}^{\pm} \quad [\rho_B^z, \mathbb{E}_{3,zz}^{\pm}] = \mp \mathbb{E}_{3,zz}^{\pm}$$

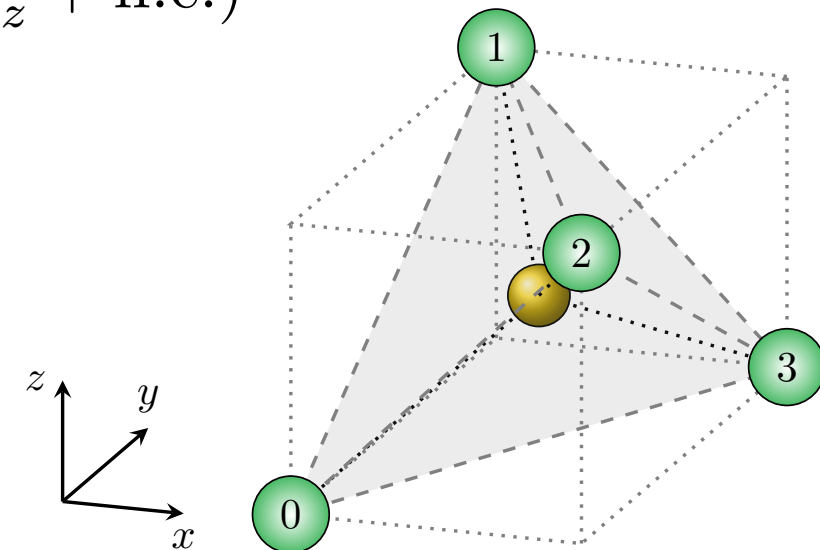
Rewriting $H' = \frac{1}{2} \sum_{\substack{B,\Gamma \\ \Gamma \neq T_{1+}}} a_{B,\Gamma} m_{B,\Gamma}^2$ as a perturbation

$$H' = \sum_{A,A'} a_{AA'} \mathbb{E}_{A,zz} \mathbb{E}_{A',zz} + \sum_{A,A'} (b_{AA'} \mathbb{E}_{A,zz}^+ \mathbb{E}_{A',zz}^- + \text{h.c.})$$

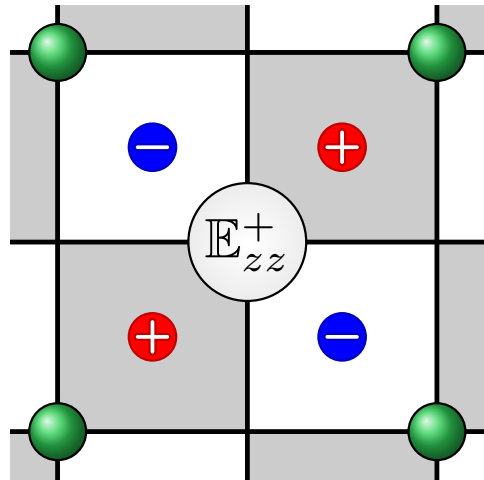
$$+ \sum_{A,A'} (c_{AA'} \mathbb{E}_{A,zz} \mathbb{E}_{A',zz}^+ + \text{h.c.})$$

$$+ \sum_{A,A'} (d_{AA'} \mathbb{E}_{A,zz}^+ \mathbb{E}_{A',zz}^+ + \text{h.c.})$$

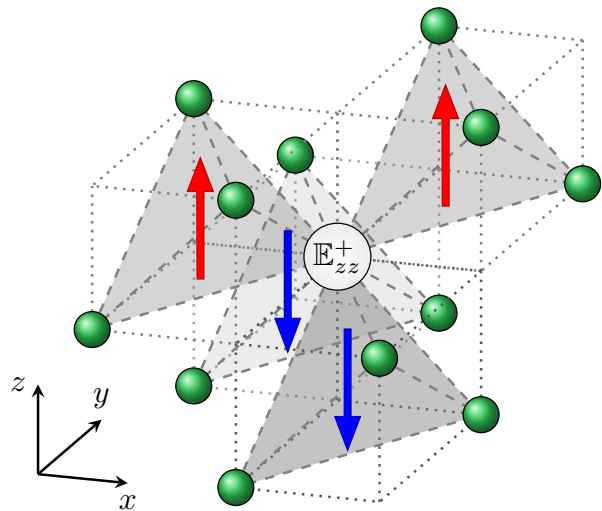
$$A, A' = 0, 1, 2, 3$$



Quantum fluctuations

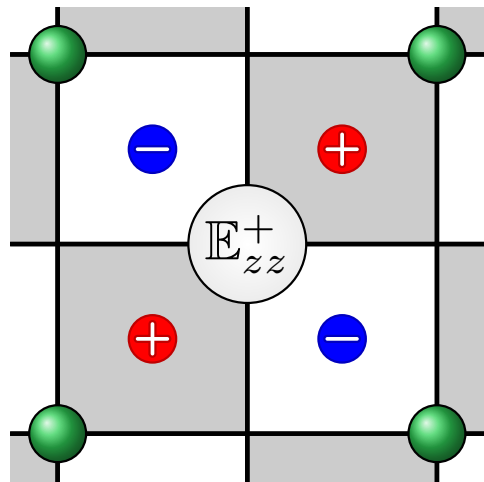


top view for the xy plane

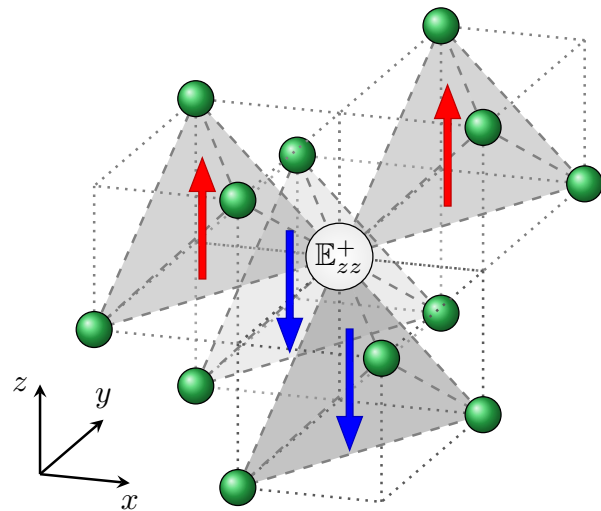


Start from some background
charge configuration,
e.g. a uniform background

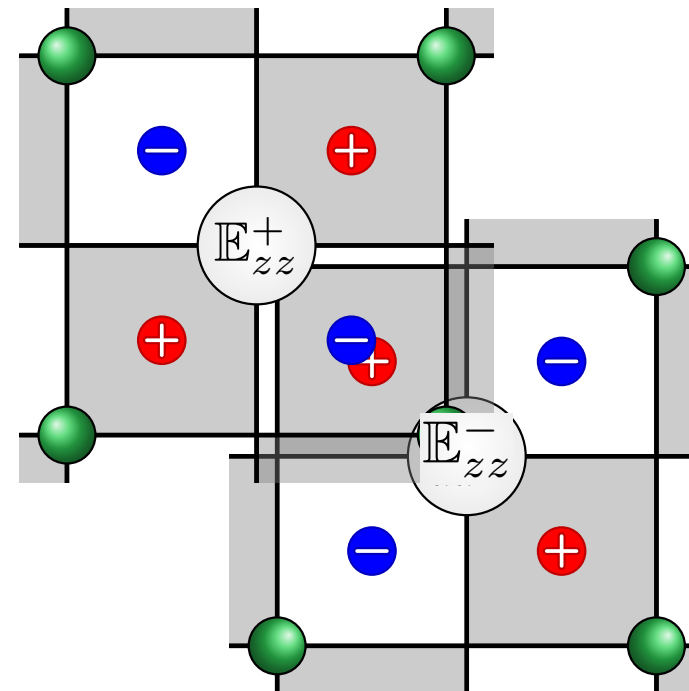
Quantum fluctuations



top view for the xy plane



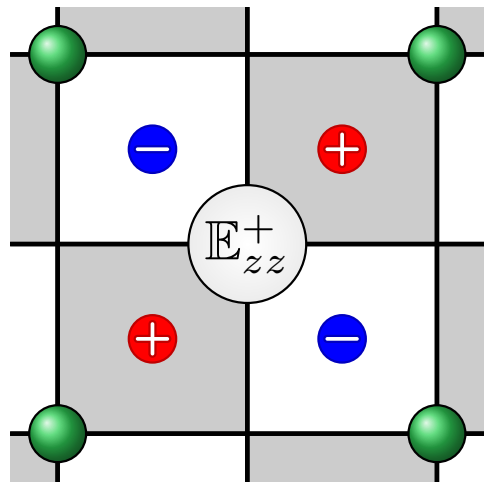
Start from some background charge configuration, e.g. a uniform background



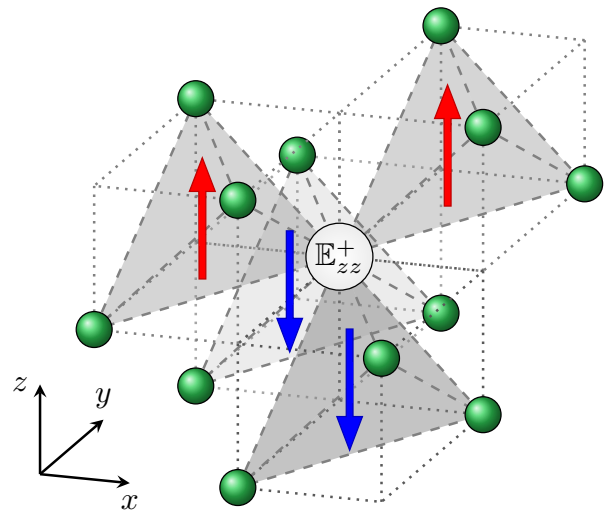
Apply

$$E_{A,zz}^{\pm}, E_{A',zz}^{\mp}$$

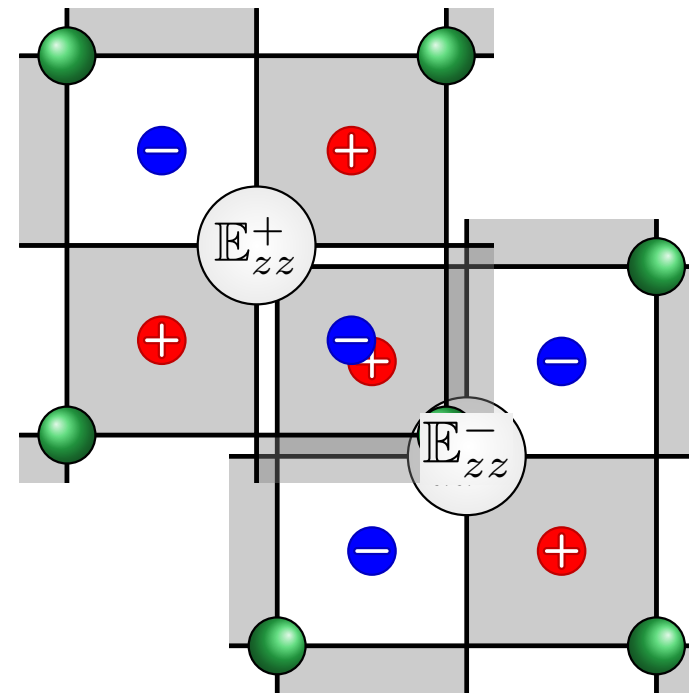
Quantum fluctuations



top view for the xy plane

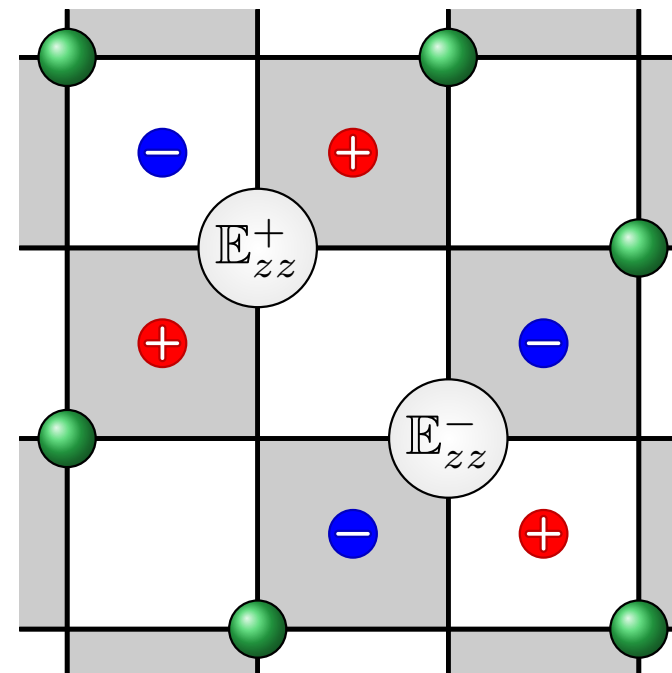


Start from some background charge configuration, e.g. a uniform background



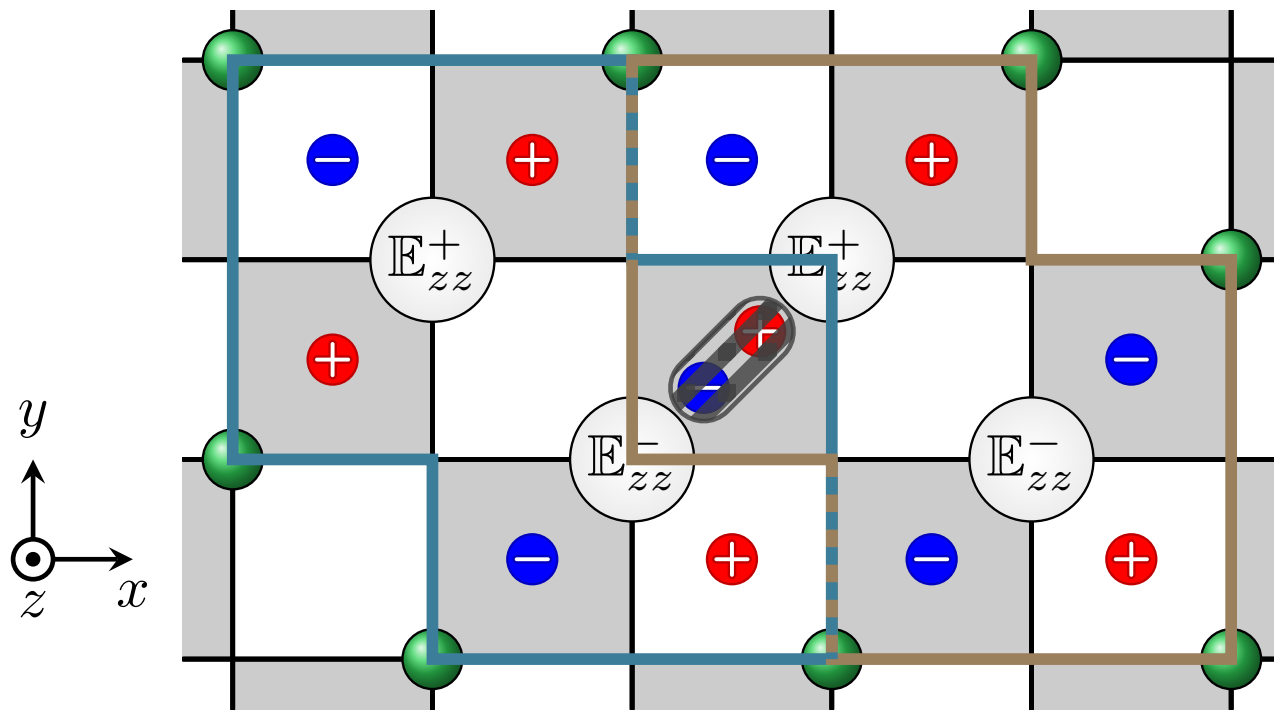
Apply

$$\mathbb{E}_{A,zz}^{\pm} \mathbb{E}_{A',zz}^{\mp}$$



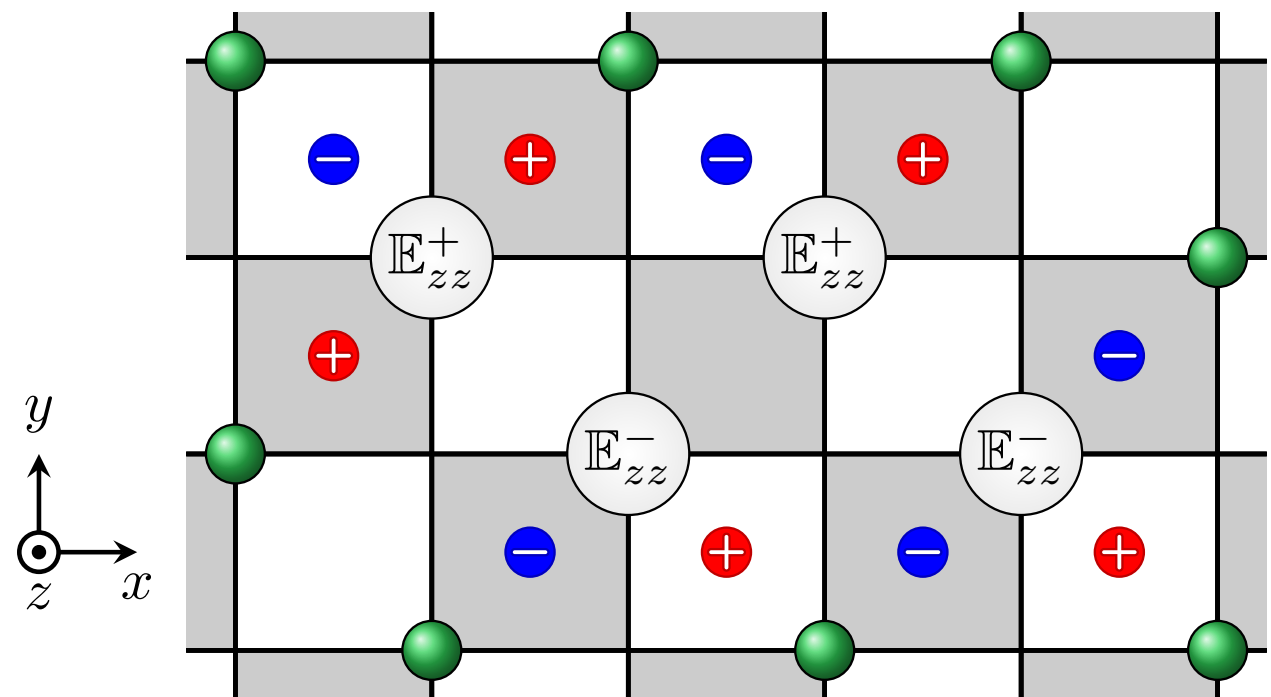
Cancelled charges in the bulk

Quantum fluctuations

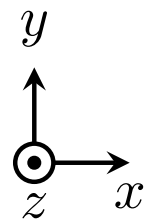
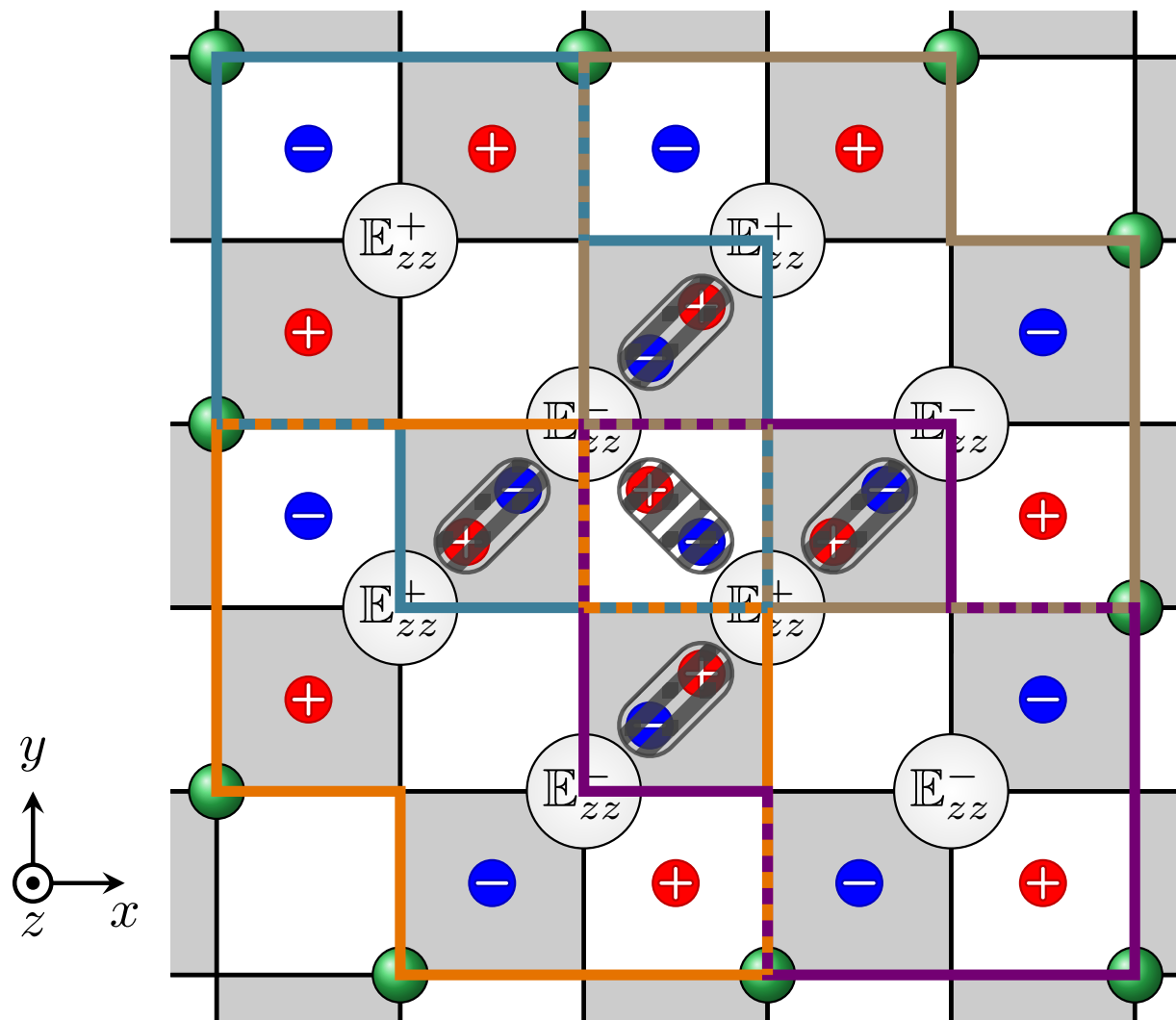


Cancelled
charges in
the bulk

Charges pushed
to the boundary

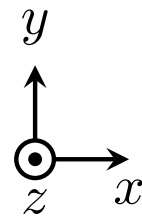
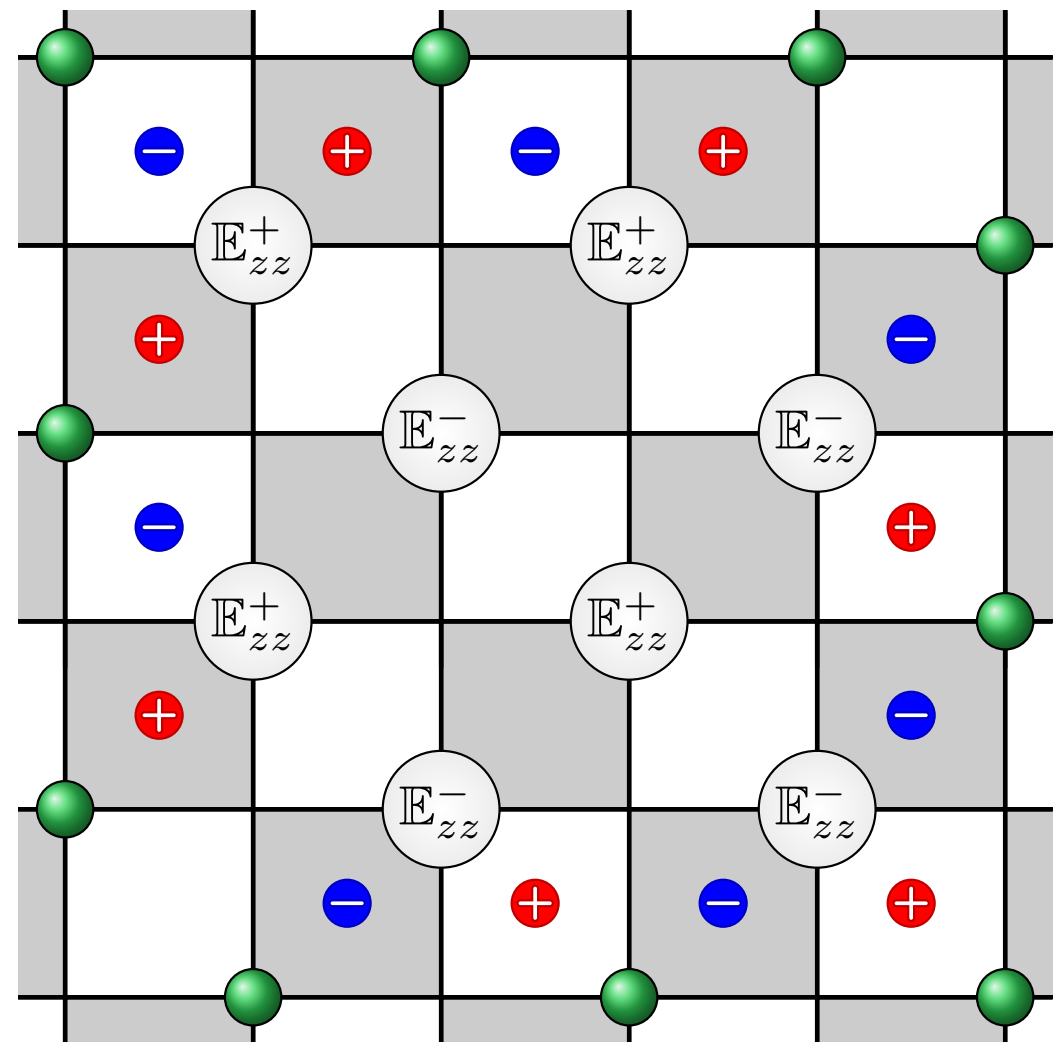


Quantum fluctuations

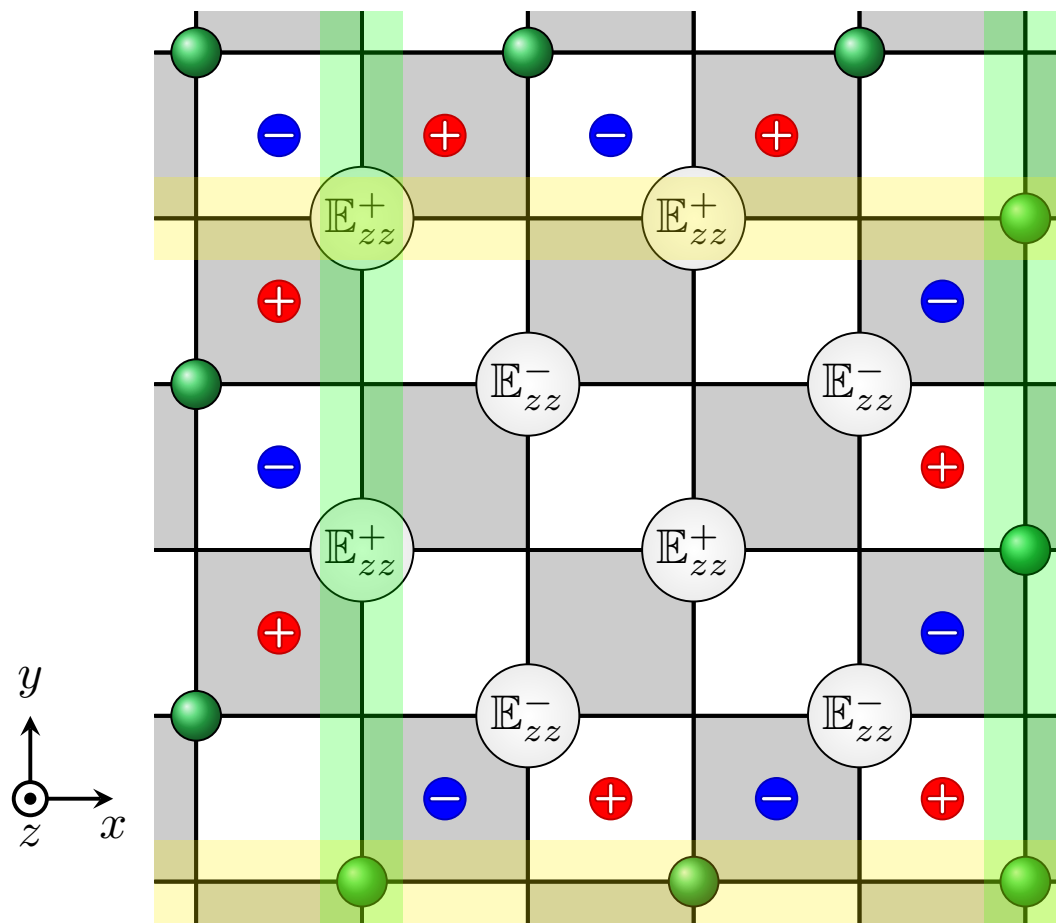


Membrane operators

This is happening
in the xy plane



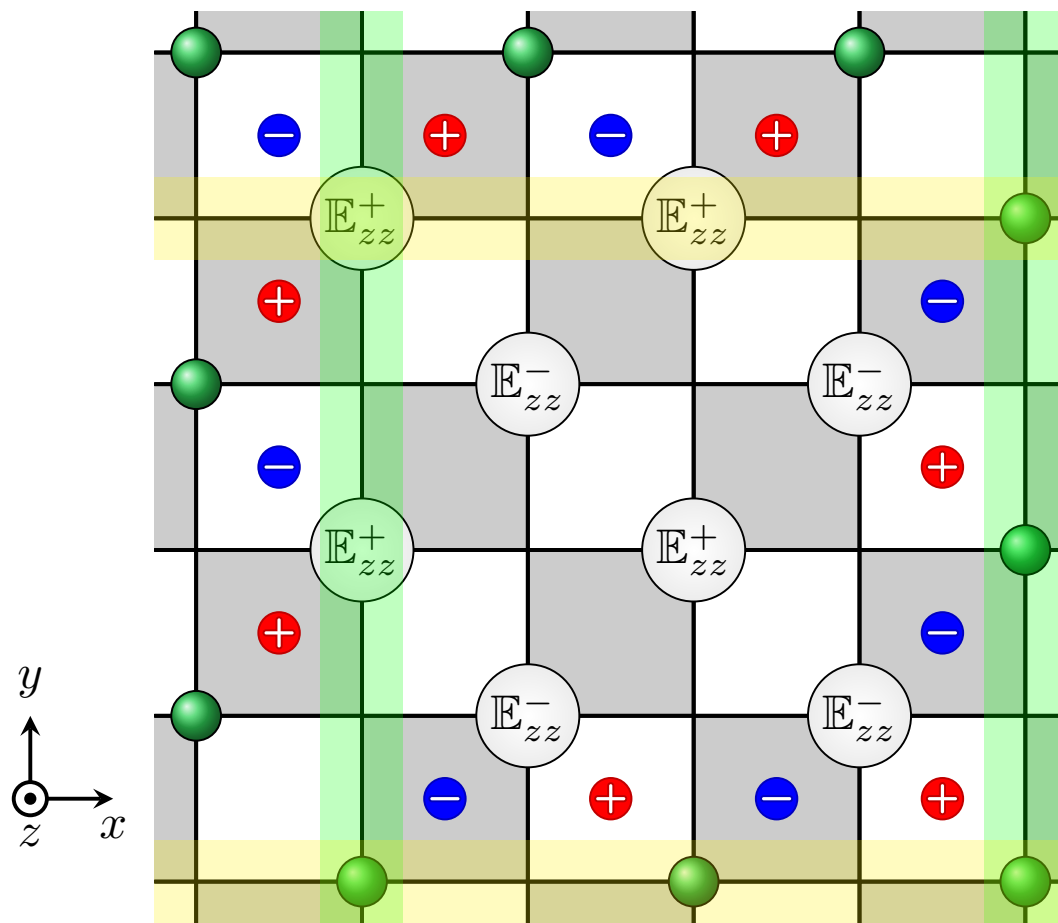
Quantum fluctuations



Periodic boundary condition
will cancel the charges
at the boundaries

One can show that this
leads to another ground
state that satisfies the
constraint.

Quantum fluctuations

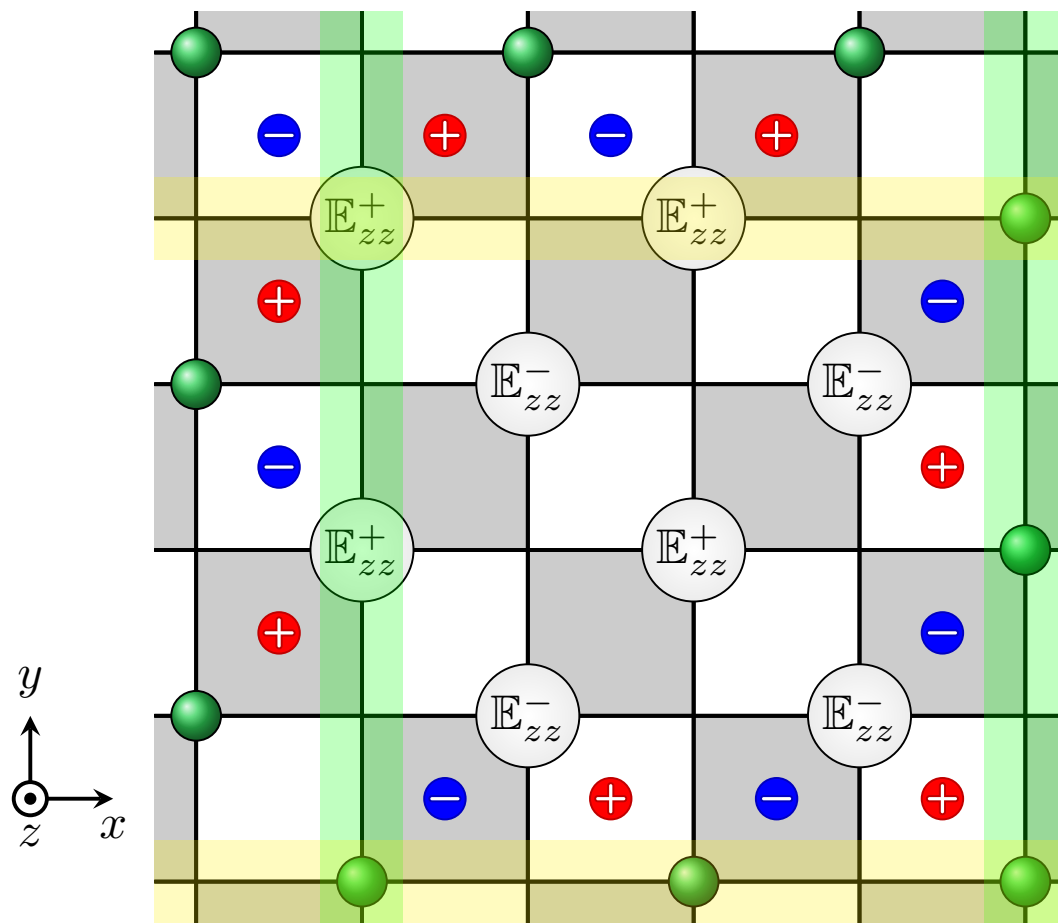


Periodic boundary condition
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at the boundaries

One can show that this
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state that satisfies the
constraint.

We can keep doing this
and generate all the
degenerate ground states

Quantum fluctuations



We can keep doing this
and generate all the
degenerate ground states

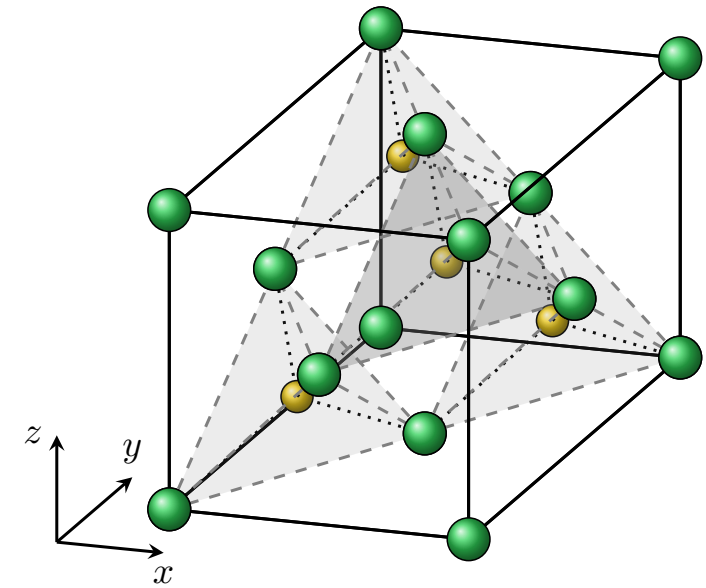
Periodic boundary condition
will cancel the charges
at the boundaries

One can show that this
leads to another ground
state that satisfies the
constraint.

This is similar to quantum Hall
states, where moving
quasiparticles and annihilating
them leads to a degenerate
ground state

Ground state degeneracy

$L_x L_y L_z$			$L_x + L_y + L_z$		GSD	constraints
L_x	L_y	L_z	volume	perimeter		
1	1	1	1	3	85	1
2	1	1	2	4	1,333	3
3	1	1	3	5	25,405	5
4	1	1	4	6	535,333	7
5	1	1	5	7	11,982,925	9
6	1	1	6	8	278,766,133	11
2	2	1	4	5	10,213	16
3	2	1	6	6	116,653	24
4	2	1	8	7	1,664,533	32
3	3	1	9	7	889,525	36
5	2	1	10	8	27,510,973	40
4	3	1	12	8	9,103,453	48
2	2	2	8	6	49,541	32
3	2	2	12	7	392,365	48
4	2	2	16	8	4,201,589	64
3	3	2	18	8	2,258,486	72
5	2	2	20	9	55,306,813	80
4	3	2	24	9	18,470,173	96
3	3	3	27	9	9,912,253	108



$$(L_x, L_y, L_z) = (1, 1, 1)$$

Ground state degeneracy

$L_x L_y L_z$			$L_x + L_y + L_z$			
L_x	L_y	L_z	volume	perimeter	GSD	constraints
1	1	1	1	3	85	1
2	1	1	2	4	1,333	3
3	1	1	3	5	25,405	5
4	1	1	4	6	535,333	7
5	1	1	5	7	11,982,925	9
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5	2	2	20	9	55,306,813	80
4	3	2	24	9	18,470,173	96
3	3	3	27	9	9,912,253	108

GSD is different for
the same **volume**
or **perimeter**

Ground state degeneracy

		$L_x L_y L_z$		$L_x + L_y + L_z$			
L_x	L_y	L_z	volume	perimeter	GSD	constraints	
1	1	1	1	3	85	1	
2	1	1	2	4	1,333	3	
3	1	1	3	5	25,405	5	
4	1	1	4	6	535,333	7	
5	1	1	5	7	11,982,925	9	
6	1	1	6	8	278,766,133	11	
2	2	1	4	5	10,213	16	
3	2	1	6	6	116,653	24	
4	2	1	8	7	1,664,533	32	
3	3	1	9	7	889,525	36	
5	2	1	10	8	27,510,973	40	
4	3	1	12	8	9,103,453	48	
2	2	2	8	6	49,541	32	
3	2	2	12	7	392,365	48	
4	2	2	16	8	4,201,589	64	
3	3	2	18	8	2,258,486	72	
5	2	2	20	9	55,306,813	80	
4	3	2	24	9	18,470,173	96	
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- (ii) $L_i, L_j \geq 2$ and $L_k = 1$
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GSD is non-extensive with volume and depends on the geometry

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$1/\mu \propto (t/a_{B,T_{1+}})^{L^2}$ Here $t \ll a_{B,T_{1+}}$ is
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Quantum glassiness

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“The speed of light”

$$c \sim 1/\sqrt{\mu} \propto (t/a_{B,T_{1+}})^{L^2/2} \rightarrow 0 \text{ in the thermodynamic limit}$$

It will take a long time to tunnel between different ground states

$$t_{\text{char}} \sim t_0 e^{(L^2/2) \ln(a_{B,T_{1+}}/t)}$$

Similar to Chamon, Nankishore, ...

Summary

Fractonic quantum ground state in a quantum spin model

with **two-spin exchange interactions** on the **breathing pyrochlore lattice**

Gapped “charge” excitations can only move as a cluster at the edge of the membrane objects

Sub-extensive GSD depends on **the lattice geometry** - can be generated by expanding and wrapping the membranes around the 3-torus

In this model, the “photons” are “localized”

A realistic model for the fractonic quantum phases !